

AGENDA

Audit and Risk Committee

Tuesday 19 August 2025 at 9:30 am

Audit and Risk Committee Agenda

Meeting to be held in the Council Chamber
36 Water Street, Whangārei
on Tuesday 19 August 2025, commencing at 9:30 am

Recommendations contained in the agenda are NOT decisions of the meeting. Please refer to minutes for resolutions.

MEMBERSHIP OF THE AUDIT AND RISK COMMITTEE

Chairperson, Independent Tangata Whenua member George Riley

Councillor John Blackwell

Councillor Joe Carr

Councillor Jack Craw

Councillor Peter-Lucas Jones

Ex-officio Councillor Geoff Crawford

Independent Tangata Whenua member Dee-Ann Wolferstan

Independent Risk Advisor Steve Watene

Independent Consultant Jonathan Eriksen

KARAKIA / WHAKATAU

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TITLE: Confirmation of Minutes - 20 May 2025


From: Margaret Knight, PA to Group Manager Corporate Services

Authorised by Bruce Howse, Pou Taumatua – Group Manager Corporate Services, on 12
Group Manager/s: August 2025

Ngā mahi tūtohutia / Recommendation

That the minutes of the Audit and Risk Committee meeting held on 20 May 2025 be confirmed as a true and correct record and that these be duly authenticated with the Chair's electronic signature.

Attachments/Ngā tapirihanga

Attachment 1: Confirmation of Minutes - 20 May 2025 [↓](#) 

Audit and Risk Committee
20 May 2025

Audit and Risk Committee Minutes

Meeting held in the Council Chamber
36 Water Street, Whangārei
on Tuesday 20 May 2025, commencing at 9:30 am

Tuhinga/Present:

Committee Chair and Independent Tangata Whenua Member, George Riley
Councillor John Blackwell
Councillor Joe Carr
Councillor Jack Craw
Councillor Peter-Lucas Jones
Ex-Officio Geoff Crawford (Ex-Officio)
Independent Risk Advisor Steve Watene

I Tae Mai/In Attendance:

Full Meeting

Councillor Marty Robinson
Tāhūhū Rangapū – Chief Executive, Jonathan Gibbard
Pou Taumatua - GM Corporate Services, Bruce Howse
Finance Manager, Simon Crabb
Financial Accountant, Taka Skipwith
Personal Assistant to GM Corporate Services, Margaret Knight

Part Meeting

Corporate Strategy Manager, Kyla Carlier
Pou Whakaritenga – GM Regulatory Services, Colin Dall
Pou Tiaki Hapori - GM Community Resilience, Louisa Gritt
Audit and Assurance Lead, Mandy Tepania
Corporate Policy Analyst, Samuel van Houten

The Chair declared the meeting open at 9.30am and commenced the proceedings with a karakia.

Ngā Mahi Whakapai/Housekeeping (Item 1.0)

Ngā whakapahā/Apologies (Item 2.0)

Secretarial Note: Independent Tangata Whenua member, Dee-Ann Wolferstan gave her apologies for non-attendance.

Nga whakapuakanga/Declarations of Conflicts of Interest (Item 3.0)

It was advised that members should make declarations item-by-item as the meeting progressed.

Audit and Risk Committee
20 May 2025

Confirmation of Minutes - 18 February 2025 (Item 4.1)

Report from Margaret Knight, PA to Group Manager Corporate Services

Moved (Craw/Carr)

That the minutes of the Audit and Risk Committee meeting held on 18 February 2025 be confirmed as a true and correct record and that these be duly authenticated with the Chair's electronic signature.

Carried

Receipt of Action Sheet (Item 5.1)

Report from Margaret Knight, PA to Group Manager Corporate Services

Moved (Blackwell/Crawford)

That the action sheet be received.

Carried

Secretarial Note:

- *GM Corporate Services explained the work being undertaken on a contract management solution. NRC had done an internal audit which was peer reviewed by PWC with a number of recommendations that aligned with our findings. Staff are currently putting together a work programme to deliver improvements to the contract management system including any need for software.*

Secretarial Note: Item 6.1 was deferred to after 6.8 to enable Deloitte representatives to join the meeting.

Internal Audit Schedule (Item 6.2)

Report from Mandy Tepania, Audit and Assurance Lead

Moved (Blackwell/Crawford)

That the report 'Internal Audit Schedule' by Mandy Tepania, Audit and Assurance Lead and dated 8 May 2025, be received.

Carried

Secretarial Note:

- *There is a fairly detailed schedule of audits planned for the near future with fraud detection work being carried out in June 2025.*

Risk Management Activity Update May 2025 (Item 6.3)

Report from Mandy Tepania, Audit and Assurance Lead

Moved (Blackwell/Craw)

That the report 'Risk Management Activity Update May 2025' by Mandy Tepania, Audit and Assurance Lead and dated 8 May 2025, be received.

Carried

Audit and Risk Committee
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Secretarial Note: George Riley declared a conflict of interest as he affiliated with Te Rāwhiti marae.

Secretarial Note:

- *Management had undertaken a thorough review of the risk management activity and as a result a number of risks had been reduced in their rating and some had been taken off as a result of that moderation.*
- *R00080 - Changes in legislation. Councillors wanted more detail around the reasons for this risk trending down. GM Corporate Services responded that in terms of controls NRC were active in that space, being engaged with central government and ensuring NRC's policies and processes were flexible to respond.*
- *R00014 - Cyber security: NRC has allocated more budget through the Long Term Plan process to enable NRC to do more work in this area. NRC's ALGIM cyber assessment programme score has increased from 37% to 50.5% over 3 year period and were making good progress.*
- *R00280 - Kauri Die-back: Suggested NRC should keep their sights broad when looking for a solution. Other than a GMO solution which might confront NRCs stance, there might be an opportunity to partner with researchers working in the field of study of targeted medicine for plants.*

ACTION: *GM Corporate Services to present at the next Audit and Risk Committee meeting a confidential report on the cyber assessment done by ALGIM.*

Fraud Corruption and Dishonesty Risk Report (Item 6.4)

Report from Mandy Tepania, Audit and Assurance Lead

Moved (Jones/Carr)

That the report 'Fraud Corruption and Dishonesty Risk Report' by Mandy Tepania, Audit and Assurance Lead and dated 8 May 2025, be received.

Carried

Secretarial Note:

- *The fraud policy applies to elected members, staff and contractors and can be reported to Fraud Limitation Officer, GM Corporate Services and the CE. If complainant did not want to report to staff they could call crime stopers and lodge a claim.*
- *NRC runs annual fraud analytics which is account matching GST nos. and attaches to the Companies Office. Deloitte to be invited to attend the next Audit and Risk Committee to present on the data analytics.*
- *Cllr Jones suggested NRC consider monitoring fraud detection through AI as a solution for local government monitoring, tax and revenue collection, and grant distribution.*

Action: *Finance Manager to invite Deloitte to present to the next Audit and Risk Committee to explain how the annual fraud analytics is carried out.*

Audit and Risk Committee
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Health and Safety quarterly report for period January to March 2025 (Item 6.5)

Report from Tamsin Sutherland, Health and Safety Advisor

Moved (Craw/Crawford)

That the report 'Health and Safety quarterly report for period January to March 2025' by Tamsin Sutherland, Health and Safety Advisor and dated 5 May 2025, be received.

Carried

Insurance (Item 6.6)

Report from Bruce Howse, Pou Taumatua – Group Manager Corporate Services

Moved (Crawford/Carr)

1. That the report 'Insurance ' by Bruce Howse, Pou Taumatua – Group Manager Corporate Services and dated 8 May 2025, be received.

Carried

The procedural motion was moved (Crawford/Carr)

That the item of business being discussed:

2. That the committee recommends to council an increase in the commercial motor excess to \$20,000 and the removal of windscreen / glass cover.
3. That the committee recommends to council that the changes in recommendation 2 are actioned at the next insurance renewal.

Should lie on the table and not be further discussed at the meeting.

Carried

Secretarial Note:

- *Corporate Services Manager tabled an update to the reporting period 2021/2024 of \$42,000 that was not included in the claims table. Bringing in this loss would realise a lower saving than that reported.*
- *Due to the modest savings the Committee decided to keep status quo and leave recommendations 2 and 3 to lie on the table.*

Financial Report to 30 April 2025 (Item 6.7)

Report from Taka Skipwith, Financial Accountant

Moved (Craw/Carr)

1. That the report 'Financial Report to 30 April 2025' by Taka Skipwith, Financial Accountant and dated 13 May 2025, be received

.Carried

Secretarial Note: Jonathan Eriksen of EriksensGlobal joined the meeting at 10.20am

Audit and Risk Committee
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Secretarial Note:

- *Group Manager Corporate Services tabled a change to existing Table 3 where it has been recommended that under step 4 the allocation of any remaining surplus be used to cover any shortfall of MMH dividend. Should that not be required, then to pay down debt related to the MMH transaction. This aligns to the financial report going to council.*

A further recommendation was made:

Moved (Craw/Blackwell)

1. That the tabled updated information provided to the Committee replace Table 3 of the report.

Carried

Secretarial Note:

- *Finance Manager clarified the setting of the SUIPS and will email to the Committee SUIPS growth by district.*
- *Jonathan Eriksen provided a market update. The markets went down in March but have bounced back. Noted, however, NRC are pretty much on target for the SIPO and confident, provided markets do not have another melt down, the performance to the end of this financial year will be satisfactory.*

Action: Finance Manager to email to the Committee SUIPS growth by district.

Secretarial Note: Jonathan Eriksen left the meeting at 10.35am

Regulatory Services Quarterly Report (Item 6.8)

Report from Colin Dall, Pou Whakaritenga - Group Manager Regulatory Services

Moved (Carr/Jones)

That the report 'Regulatory Services Quarterly Report by Colin Dall, Pou Whakaritenga - Group Manager Regulatory Services and dated 29 April 2025, be received.

Carried

Secretarial Note:

- *The Committee thanked staff for providing the reports which gives councillors a handle on how much activity NRC monitor and administer which provides assurance with reports of this content.*
- *The Committee raised a question around the monitoring and regulations for the burning of rubbish on private property or government land, seeking to understand the threshold for air pollution/nuisance. Group Manager Regulatory Services to follow up on this question and will report back to the Committee. This will help clarify the guidelines for councillors communicating with ratepayers about what is acceptable in the community and the rules being imposed.*
- *Committee discussed those compliance applications which were older than 12 months and whether there was anything that NRC could do to progress these and notable those relating to waste and storm water.*

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Actions:

1. GM Regulatory Services to provide follow-up and guidance for councillors on the burning of rubbish on private property or government land to help councillors to communicate with ratepayers on what is acceptable in the community.
2. CE and GM Regulatory Services to provide copies of letters sent to district councils to the next Audit and Risk Committee and also consider how NRC could draw some of those matters to a close or hearing.

Secretarial Note: Bennie Greyling and Alif Barolia of Deloitte joined the meeting at 11.22am to discuss 6.1 planning report and answer any questions.

2024-25 Annual Report: Timetable and Deloitte Planning Report (Item 6.1)

Report from Simon Crabb, Finance Manager

Moved (Carr/Blackwell)

That the report '2024-25 Annual Report: Timetable and Deloitte Planning Report' by Simon Crabb, Finance Manager and dated 6 May 2025, be received.

Carried

Secretarial Note:

- Bennie Greyling walked through the high level plan and focussed on the executive summary and reason for fee increase.
- The Committee accepted the report and noted next steps:
 - Engagement letter signed
 - Audit proposal letters signed and in place
 - Then ready to go.

Secretarial Note: Bennie Greyling and Alif Barolia left the meeting at 11.40am

Kaupapa ā Roto/Business with Public Excluded (Item 7.0)

Moved (Craw/Blackwell)

1. That the public be excluded from the proceedings of this meeting to consider confidential matters.
2. That the general subject of the matters to be considered whilst the public is excluded, the reasons for passing this resolution in relation to this matter, and the specific grounds under the Local Government Official Information and Meetings Act 1987 for the passing of this resolution, are as follows:

Item No.	Item Issue	Reasons/Grounds
7.1	Confirmation of Confidential Minutes - 18 February 2025	The public conduct of the proceedings would be likely to result in disclosure of information, the withholding of which is necessary to protect the privacy of natural persons, including that of deceased natural persons s7(2)(a).
7.2	Receipt of Confidential Action Sheet	The public conduct of the proceedings would be likely to result in disclosure of information, the withholding of which is necessary to protect the privacy of natural persons, including that of

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20 May 2025

		deceased natural persons s7(2)(a) and the withholding of which is necessary to protect information where the making available of the information would be likely unreasonably to prejudice the commercial position of the person who supplied or who is the subject of the information s7(2)(b)(ii).
7.3	Report on Litigation and Enforcement 01 January - 31 March 2025	The public conduct of the proceedings would be likely to result in disclosure of information, the withholding of which is necessary to protect the privacy of natural persons, including that of deceased natural persons s7(2)(a).

3. That the Independent Advisors be permitted to stay during business with the public excluded.

Carried

Whakamutunga (Conclusion)

The meeting concluded at 11.49am.

TITLE: Receipt of Action Sheet

From: Margaret Knight, PA to Group Manager Corporate Services

Authorised by Bruce Howse, Pou Taumatua – Group Manager Corporate Services, on 12
Group Manager/s: August 2025

Whakarāpopototanga / Executive summary

The purpose of this report is to enable the meeting to receive the current action sheet.

Nga mahi tutohutia / Recommendation

That the action sheet be received.

Attachments/Ngā tapirihanga

Attachment 1: Action Sheet [↓](#) 

Outstanding Actions as at 6/08/2025

Id	Meeting	Target Date	Officer Responsible	Description	Request Details	Most Recent Comment
8176	Audit and Risk Committee 20/05/2025	19/06/25	Dall, Colin	Regulatory Services Quarterly Report	GM Regulatory Services to provide follow-up and guidance for councillors on the burning of rubbish on private property or government land to help councillors to communicate with ratepayers on what is acceptable in the community.	Request actioned.
8178	Audit and Risk Committee 20/05/2025	19/06/25	Dall, Colin	Regulatory Services Quarterly Report	CE and GM Regulatory Services to provide copies of letters sent to district councils to the next Audit and Risk Committee and also consider how NRC could draw some of those matters to a close or hearing.	See report in Agenda for 19 August A&R Committee meeting.
8175	Audit and Risk Committee 20/05/2025	19/06/25	Crabb, Simon	Financial Report to 30 April 2025	Finance Manager to email John Blackwell SUIPS growth by district.	Simon Crabb actioned request.
8171	Audit and Risk Committee 20/05/2025	19/06/25	Howse, Bruce	Risk Management Activity Update May 2025	GM Corporate Services to present at the next Audit and Risk Committee meeting a confidential report on the cyber assessment done by ALGIM.	
8172	Audit and Risk Committee 20/05/2025	19/06/25	Crabb, Simon	Fraud Corruption and Dishonesty Risk Report	Finance Manager to invite Deloitte to present to the next Audit and Risk Committee to explain how the annual fraud analytics is carried out.	Deloitte have been invited to attend next A&R Committee meeting.

Audit and Risk Committee
19 August 2025

ITEM: 6.1

TITLE: Deloitte presentation on fraud detection/data analytics programme

From: Simon Crabb, Finance Manager

Authorised by Group Manager/s: Bruce Howse, Pou Taumatua – Group Manager Corporate Services, on date 12 August 2025

Over the past two years, Deloitte has partnered with Council to implement a series of targeted fraud and corruption detection analytics. These modules were designed to proactively identify potential fraudulent schemes and misconduct, helping to mitigate Council's fraud risk.

Partners from Deloitte's Forensic team will present to the committee on the progress of Council's fraud detection programme, the various modules deployed over the past two years, and discuss how the landscape of fraud, both in its execution and detection, is evolving.

Attachments/Ngā tapirihanga

Attachment 1: Integrity Analytics presentation

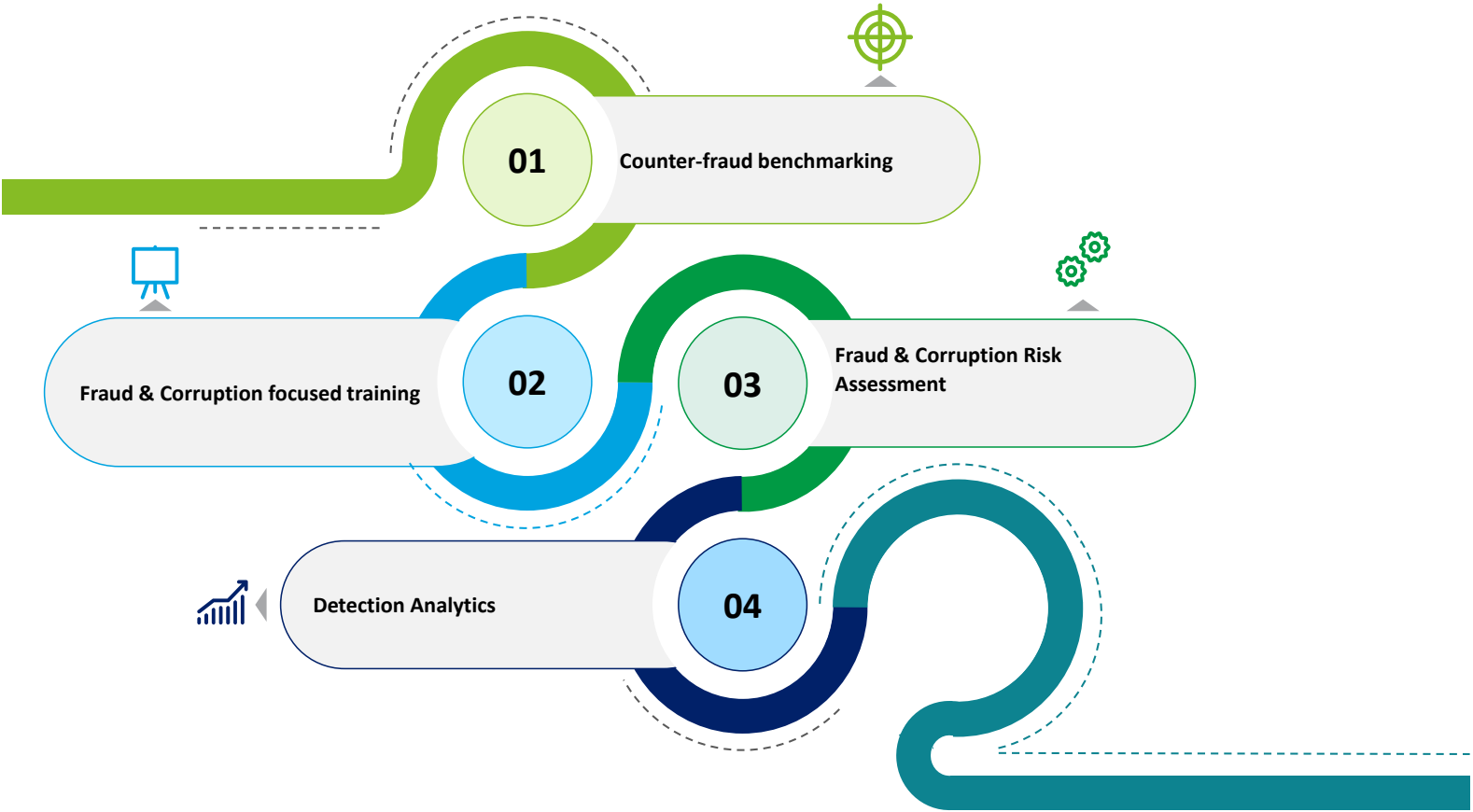
Deloitte.



Northland Regional Council
Integrity Analytics

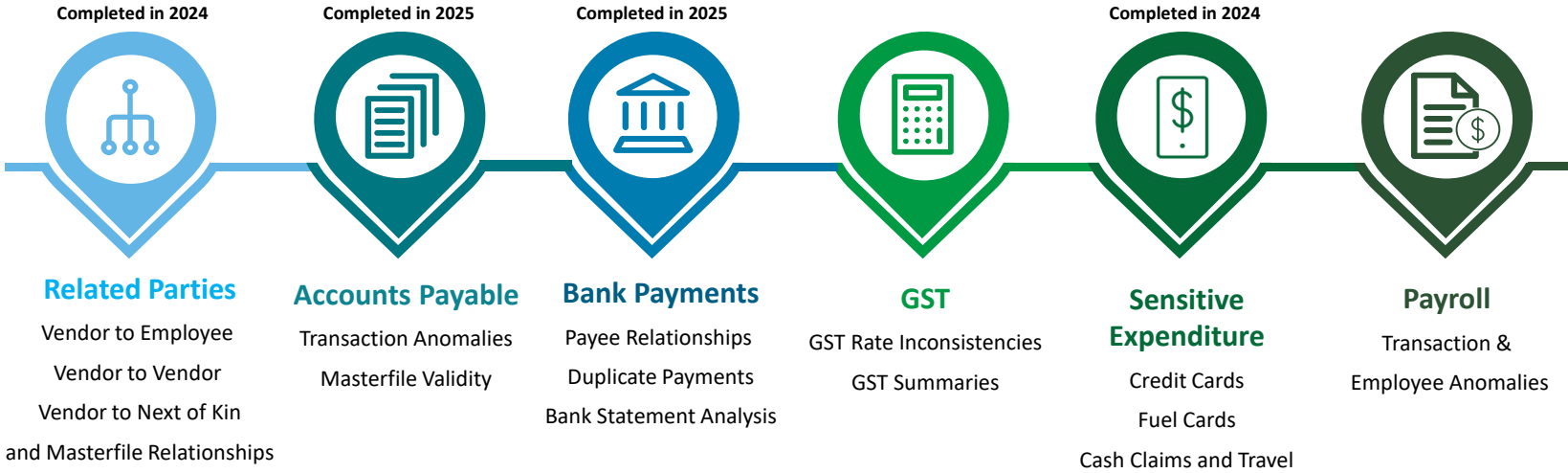
AUGUST 2025

Fraud programme
Iterative & targeted technology enabled program

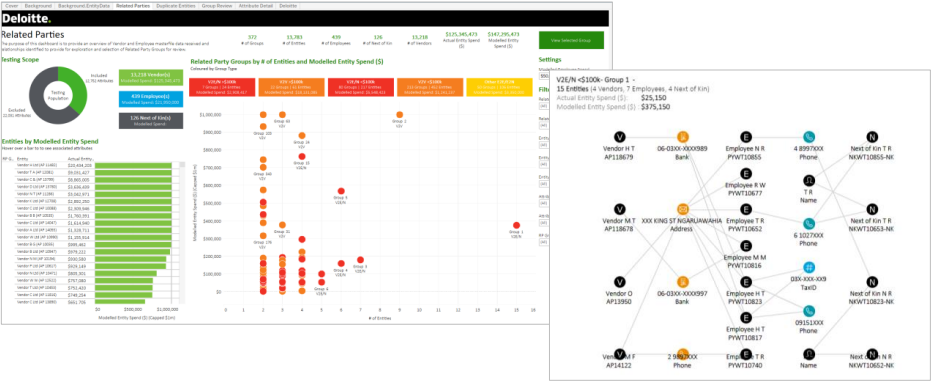


Integrity Analytics

Modules across different functions

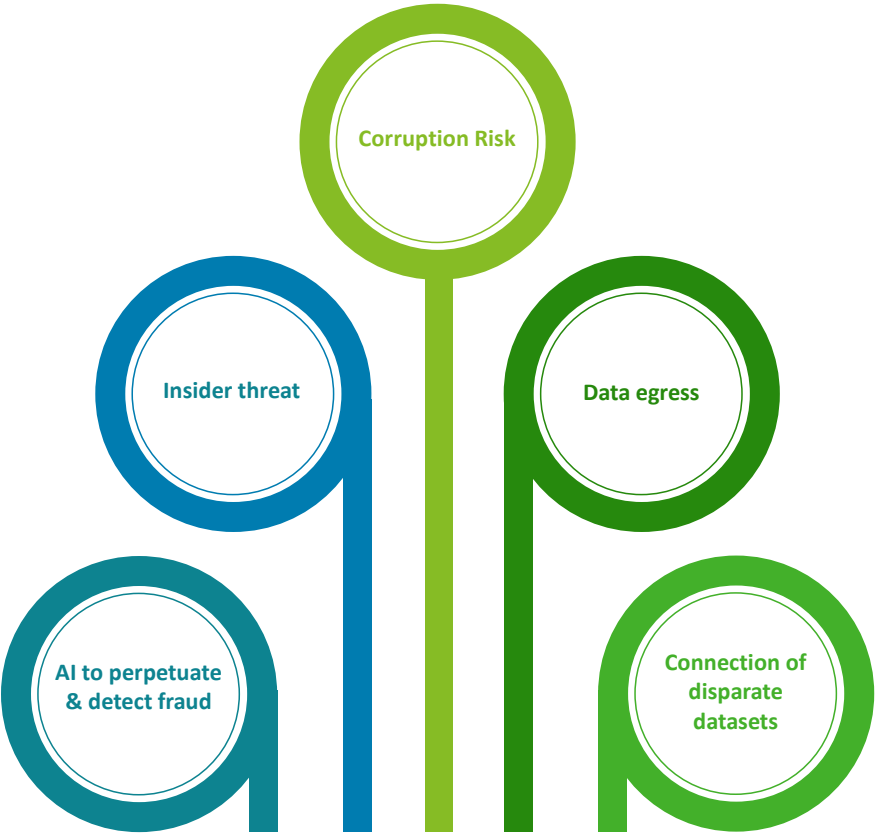


Integrity Analytics
The analytics process



Horizon

How the landscape is changing





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TITLE: Internal Audit Schedule

From: Mandy Tepania, Audit and Assurance Lead

Authorised by Bruce Howse, Pou Taumatua – Group Manager Corporate Services, on 12
Group Manager/s: August 2025

Whakarāpopototanga / Executive summary

The overview of the internal audit schedule is provided in Table 1.

A schedule providing more detail on each audit item and a status update on progress is provided in Attachment 1.

Ngā mahi tūtohutia / Recommendation

That the report 'Internal Audit Schedule' by Mandy Tepania, Audit and Assurance Lead and dated 5 August 2025, be received.

Background/Tuhinga

Table 1. Internal Audit Schedule

Key			
Complete		Underway	Deferred
			Not Started
Year	Item	Status	
2024/2025	The Pathway Te Huarahi Tika Quality Review – New Quality program is underway across 22 different service delivery groups within NRC.	<ul style="list-style-type: none"> 20 reviews completed. 2 reviews scheduled to be completed Q3/4. 	
2025	Local Government Act Section 17A Service Delivery Audits	<ul style="list-style-type: none"> Information Services and Technology Biodiversity Environmental Monitoring 	
2024	Internal Audit review on Infocouncil Agenda protocols	<ul style="list-style-type: none"> Decision made to develop a Confidentiality Policy to be delivered 2025 	
2024	Contract management Audit	<ul style="list-style-type: none"> Contract Management Audit now complete External Peer Review Complete Scope and Schedule from Roadmap Implementation and training package (guidance for GMs to provide teams) 	
2025	Forest Management, audit of effectiveness of (outsourced) management, harvest schedule, health, and safety compliance, reporting etc. Biodiversity audit is completed – implementation will be reviewed as part of the overall audit outcomes.		
2026	Audit – Risk and Assurance Program – review the criteria for Risk Appetite	<ul style="list-style-type: none"> Planned March 2026 	

2024/25	Fraud Detection Review – External provider to review NRC current processes	<ul style="list-style-type: none"> External Auditors planned September reporting 2025
2024/25	Financial Staff Benefit Policy Review – this review will include all policy relating to staff /councillor benefits/remuneration: Credit card, Travel, Expenditure,	<ul style="list-style-type: none"> External Auditors Planned September reporting 2025
2025	Procurement Management – Audit of current procedures, framework and training needs (Contract Management Audit – External Peer Review)	<ul style="list-style-type: none"> Schedule of works underway as part of the Contract Management Program update
2025	Health & Safety Contractor Reality Check Audit	<ul style="list-style-type: none"> Completed work included in Contract Management Schedule
2025	Analytics (Accounts Payable, Bank Payments) plus technology fee External audit completed by Deloitte – Annual audit	<ul style="list-style-type: none"> External Auditors planned reporting September 2025
2025	Internal Audit Maturity review Risk – review of current system, engagement and reporting	<ul style="list-style-type: none"> Completed with Reporting to next Audit & Risk meeting
2025	Vehicle FBT – Audit on current use	<ul style="list-style-type: none"> Planned for October 2025
2025	Catering – Audit on consistency with Policy and procedures (Budget, approval)	<ul style="list-style-type: none"> Planned for October 2025
2025/2026	Annual Business Continuity Review – meeting with critical functioning services within NRC to ensure plans are up to date (contacts etc)	<ul style="list-style-type: none"> Planned for March 2026
2026	Business Continuity Review – Tabletop exercise (specific scenario)	<ul style="list-style-type: none"> Planned April 2026
2025/2026	Indirect Taxes (GST PAYE WT FBT) external audit to be completed by Deloitte	<ul style="list-style-type: none"> Planned September 2025
2026	Grants / Funds / Efund Audit	<ul style="list-style-type: none"> Planned for early 2026 following the Te Tira Kohi Putea (NRC Funding Coordination) Steering group to coordinate all procedures and reporting.

Contract Management Work Update

Completed to date:

- Initial Internal Audit (Dec 2024)
- Email to Group Managers providing some detail to pass on to staff as discussed during ELT (February 2025)
- External Peer Review (PWC) (March 2025)
- External Health & Safety Contract Management Audit (Duncan Cotterill) (March 2025)
- External Health & Safety Reality Check – Contract Management (Wilson Consulting) (June 2025)

- Engagement through Aotahi weekly over the month of June requesting all staff with contracts to review and update their files before a further audit is completed in July.
 - Engagement resulted in a large number of changes made in the Contract Management register- noticeably linking of documents to sharepoint sites or project management registers.
- A further internal audit in the contract management register was completed post engagement, with the following noted:
 - Some new concerns were raised – e.g. training suppliers were captured in the contract management register where no contract was actually let (supplier information only)
 - Naming conventions need to be clarified – folders had been set up with different names, documents shared between both folders yet the same contract.
 - How to identify if a contract was being negotiated and for some reason was never initiated (signed and agreed).

From the above completed tasks a software requirements document is being developed providing clear scope for a Contract Management System. Initial review of existing software options will be identified to see if these meet the criteria e.g. CIA (TechOne), Waypoint.

Attachments/Ngā tapirihanga

Attachment 1: Detailed Internal Audit Schedule  

	A	B	C	D	E	F	G	H	I
	Audit Name & Date	Internal Audit Key Finding	Implication	Auditor Recommendation		Rating	Management Commitment	Management Action	Status
1	Policy and Procedures Audit November 2024 Risk R00228	Roles and responsibilities were not clearly defined therefore policies were not well structured or easy to follow.	Poorly written policies can often use vague language and lack clear definitions increasing the risk of non-compliance.	Policies were in need of review Approval process followed Reviewed annually Set template and procedures followed	Risk	High	Management support the development of a controlled Policy Review Schedule, a new Policy Register and freeze on policy updates until this project has been completed.	Support provided through policy review (experts) and approval process. Now BAU	closed
2	IT Systems and Software Audit 2024 Risk R00285	Collating a full list of software and services currently used at NRC. The list should identify who uses what and what the software is used for.	Cyber security difficult to manage with software use across the NRC Network.	Review all software used to confirm fit for purpose. A Steering group be set up to develop and implement a three year improvement plan.	Risk	High	The Steering Group report regularly to Management.	Management will monitor progress. As a member of the ALGIM Cybersecurity Program audits are planned bi-annually.	Open
3	Cyber Security Maturity Audit 2025 Risk R00014	A recent Security Assessment took place onsite to assess any improvement from the assessment completed in 2022.	This second assessment is part of the ALGIM Cybersecurity Programme looking at technologies, systems, processes and controls associated with the use of computer systems and information.	The assessment provided a score of 50.5% from 37% in 2022 along with a list of recommendations to meet today's cybersecurity threats.	Risk	High	Management supported the list of controls implemented as recommended to improve cybersecurity threats including response planning, communications and analysis	Regular review through the Steering Group and included in reporting to Management.	Open
4	Contract Management Audit November 2024 Risk R00283	The Contract Management Register required some oversight with better templating and training provided to staff in procuring suppliers and managing the contract through to completion.	The current contract management program requires a review pertaining to training, legal review of templates, review of Policy and Framework to better support users with a final review to support detail that may affect the final outcome.	.Contract Planning, set-up and governance required legal review and stricter template controls .Training and knowledge transfer required regular review and oversight .Contract administration requires a software	Risk	High	A peer review of the Internal Audit findings was completed by an external party. The review confirmed the areas for improvement and implementations. Management accept the	Considered and approved a schedule to be completed and presented at the next ELT meeting.	Open
5	Confidentiality Protocols not clear December 2024	NRC did not have a policy or clear guidelines to provide direction on handling classified documentation.	An event had occurred where confidential information was received by an external party and shared with the public in error.	Audit identified no clear standard available and therefore staff practise was based on previous experiences.	Risk	Medium	Management accept clarification is required and requested a policy be developed.	The development of a policy has been requested for approval on completion.	Open
6	Integrity Analytics November 2024	Annual Business Continuity Review - critical functioning services plan with recommendations for area's for review.	Provides council with confidence the financial systems meet legislative requirements.	Deloitte provided a Integrity Analytics program for completion over a two year timeframe.	Risk	High	Management accept the scope as outlined across the financial programs.	Timeframes and data analytics modules were agreed.	Open
7	Forest Management January 2026 Risk R00287	Audit of effectiveness of outsourced management, harvest schedule, health and safety compliance, reporting etc.	Cost Analysis	Audit yet to be completed	Risk	Not Started			Not Started
8	Health & Safety Contractor Reality Check Audit March 2025 Risk R00254	A contract Management H&S audit was completed internally and further reality check requested by an external consultant with recommendations as outlined in the Contract Management review under Risk R00283.	External provider completed the audit across contractors, staff and documentation. The consultant noted the lack of information available in the contract management program to review supporting a change in the system used to manage contracts.	Clarification of roles and responsibilities Critical risks Hazard Register Lone working Provision of PPE Alignment with regulatory guidance Consultation & Frequency of reviews Simplification Worker engagement Training General Culture Leadership Culture, ELT reporting framework and Lead indicators Deep dives & Commitments Contractor Management system	Risk	High	A review of the recommendations was completed by management and will be included in the Contract Management review.	Considered and approved a schedule to be completed and presented at the next ELT meeting.	Open
9									

	A	B	C	D	E	F	G	H	I
10	S17A Service Delivery Audits LGA 2014	Information Services & Technology Management (Internal) Biodiversity (External) Environmental Monitoring (External)	Review the Service Delivery model following the three elements: 1.How a service is governed 2.How it is funded 3.How it is delivered	Section 17a as part of the Local Government Act 2002 amendment Act 2014 (LGA) provide a mandatory review process for determining whether the existing means for delivering a service remains the most efficient, effective and appropriate means for delivering that service.	Operational	Low	A Section 17a schedule identifies an internal audit be completed every six years following the LGA 2014.	Consider and approved for completion in 2025.	Open
11	Internal Audit Maturity Review	Deloitte completed an Internal Audit assessment in 2023 and identified a number of recommendations to improve effectiveness and efficiency across governance and operations.	Following a program of works over the past two years a further assessment was requested to ensure task improvements were being met.	Deloitte completed a further assessment in May 2025. The report provided clarification on where NRC was in 2022 and the improvements made meeting the targeted level of 3.3 in 2025. A full report will be provided to Audit & Risk at the next meeting in November 2025.	Operational	Low	Report provided to Audit & Risk Committee at the next meeting in November 2025.		Closed
12	Grants/ Funds/ Efund Audit	Te Tira Kohi Putea - Grant funding process development Group has been formed to look at what NRC are responsible for and set criteria for reporting etc.	Clear criteria for all providers to follow and information gathered for reporting.	Delay the audit until the working group have completed the funding program development and plan an audit to review outcomes in six months.	Operational	Not Started			Not Started
13	Vehicle FBT 2025 Risk R00112	Audit on consistency with Policy and Procedures			Risk	Not Started			Not Started
14	Catering Audit 2025 Risk R00114	Audit on consistency with Policy and Procedures (Budget Approval)			Risk	Not Started			Not Started
15	Annual Business Continuity Review 2025 Risk R00136	Meeting with critical functioning services within NRC to ensure plans are up to date (contacts etc)	Further to this plans being updated a desktop exercise should be run to practise the plan and review outcomes		Risk	Not Started			Not Started

TITLE: Risk Management Policy Update

From: Kyla Carlier, Corporate Strategy Manager and Mandy Tepania, Audit and Assurance Lead

Authorised by Group Manager/s: Bruce Howse, Pou Taumatua – Group Manager Corporate Services, on 12 August 2025

Executive summary/Whakarāpopototanga

Council's Risk Management Policy is scheduled for review annually. An updated Risk Management Policy is being presented to the Audit and Risk Committee for endorsement, in accordance with Section 5(b) of the Committee's Terms of Reference, which outlines its oversight responsibilities for risk management.

Recommendations

1. That the report 'Risk Management Policy Update' by Kyla Carlier, Corporate Strategy Manager and Mandy Tepania, Audit and Assurance Lead and dated 6 August 2025, be received.
2. That the committee recommends to council the approval of the updated Risk Management Policy, as included as attachment 1 and 2 – Draft Risk Management Policy

Options

No.	Option	Advantages	Disadvantages
1	Endorse updated policy	Policy can be updated with improvements	None
2	Do not endorse updated policy	None	Policy cannot be updated with improvements

The staff's recommended option is option 1.

Considerations

1. Climate Impact

Updating the risk management policy is not anticipated to have any impact on climate.

2. Environmental Impact

Updating the risk management policy is not anticipated to have any environmental impact.

3. Community views

The risk management policy is an internal policy, and community views are not considered a necessary part of considering its update.

4. Māori impact statement

The proposed updates to the risk management policy are largely administrative and are not considered to have any impact on Māori.

5. Financial implications

The proposed updates to the risk management policy are largely administrative and are not considered to have any financial implications.

6. Implementation issues

No implementation issues are anticipated.

7. Significance and engagement

The decision to review and endorse the changes to the Risk Management Policy do not trigger any further considerations under councils Significance and Engagement Policy.

8. Policy, risk management and legislative compliance

Making the proposed updates to the risk management policy will have positive impacts in terms of policy, risk management and legislative compliance, with a more relevant and up-to-date policy in place.

Background/Tuhinga

Council maintains a policy that guides the management of risk across the organisation. The policy outlines council's commitment to effective risk management including the principles, objectives and behaviours required to support consistent and proactive risk practices. This policy is subject to annual review, which has been completed for 2025. Resulting improvements include reducing unnecessary content, alignment with council policy template, and focussing on the outcome of risk management.

Changes included:

- Re-wording of the purpose section to succinctly capture only the purpose of the policy.
- Streamlining of the scope section to focus on who the policy applies to rather than describe what risk it.
- Development of clear policy statements including:
 - Commitment to risk management - to describe what risk management is, and councils commitment to it
 - Objectives - retained the essence of objectives from previous policy with minor updates and removal of reference to community outcomes, which are no longer needed to be specifically stated in policy.
 - Principles - new section to outline the principles of risk management, as aligned with councils Risk Management Framework.
- Updating of the table of Roles and Responsibilities.

Once the Risk Management Policy is endorsed by the Audit & Risk Committee the policy will be formally approved by council in September.

Attachments/Ngā tapirihanga

Attachment 1: Draft Risk Management Policy with tracked changes [!\[\]\(f219cfc00b8db0cd1a81ae1fc9afaf28_img.jpg\)](#) 

Attachment 2: Draft Risk Management Policy clean copy [!\[\]\(06a315363e7801bba8c7489a6694af19_img.jpg\)](#) 

Risk Management Policy

- Roles and responsibilities for managing risk
- Risk management implementation

Purpose

The purpose of this policy is to outline Northland Regional Council's commitment to effective risk management, including the principles, objectives, and behaviours required to support consistent and proactive risk practices across all areas of the council.

Scope

This policy applies to all staff, elected members and those working on behalf of council.

Policy Statement

1. Commitment to risk management

Northland Regional Council is committed to council-wide risk management principles and processes to ensure consistent, efficient and effective assessment of risk in all planning, decision making and operational procedures.

Risk management is the systematic process of identifying, assessing, and mitigating threats or uncertainties that can affect an organization. This involves analysing the likelihood and impact of risks, developing strategies to minimize harm and monitoring the effectiveness of these measures.

2. Objectives

- Embed risk management as an integral part of all council activities.
- Provide a safe and secure workplace environment at council.
- Limit loss or damage to property and other assets.
- Limit interruption to business continuity.
- Be agile and responsive to emerging and changing risks.
- Ensure a structured, comprehensive and effective approach.
- Continually improve risk management through learning, experience, reporting and review.
- Meet or exceed international best practice standards.

3. Principles

DUI- XXXXXXXXX

DRAFT

IF PRINTED THIS POLICY IS VALID AT DATE OF PRINT

Council's risk management approach is guided by the following principles:

- Risk management creates and protects value
- It is an integral part of all organisational process
- It is a part of decision making
- It explicitly addresses uncertainty
- It is systematic, structured and timely
- It is based on the best available information
- It is tailored
- It takes human and cultural factors into account
- It is transparent and inclusive
- It is dynamic, iterative and responsive to change
- It facilitates continual improvement of the organisation

4. Roles and responsibilities

The following table outlines the risk management responsibilities by position at council.

Position	Roles and Responsibilities
Elected Members	<ul style="list-style-type: none"> • Ensure that risks are adequately considered when setting council's vision, mission and community outcomes. • Understand and assess the risks facing the organisation in achieving its vision, mission and community outcomes.
Audit and Risk Committee	<ul style="list-style-type: none"> • Understand the risks facing council in achieving its vision, mission and community outcomes, assess risk appropriateness and ensure that systems are operating effectively. • Provide assurance to council.
Chief Executive Officer	<ul style="list-style-type: none"> • Advocate risk identification and management across the organisation • Assure the Audit and Risk committee and Council that risks are being identified and managed. • Assure the Audit and Risk committee and Council that systems to manage risks are implemented and operating effectively.
Group Managers	<ul style="list-style-type: none"> • Identify and record risks in the register. • Promotion of a risk management culture to managers and staff • Assure the Chief Executive Officer that risks to council are identified and managed.
Process Manager Group Champion	<ul style="list-style-type: none"> • Administer and support risk review and updates to the risk register as required within their groups.
Risk and Assurance Lead	<ul style="list-style-type: none"> • Ensure that Strategic and group risks are reviewed maintaining the overdue non-compliant register. • Facilitate regular reporting of risk to the Audit and Risk committee. • Undertake reviews of risk processes and appetite as necessary and/or as directed by the Audit and Risk committee. • Manage Deep Dive reporting for Audit and Risk committee meetings. • Manage risk registers within Process Manager (Promapp) providing support and training where required.
People and Culture Manager	<ul style="list-style-type: none"> • Manage and Report Health and Safety risks to ELT and Audit and Risk committee.

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Project Managers	<ul style="list-style-type: none"> Identify, manage and report project risks in Process Manager. Regular review and update to the risk register.
Risk Owners	<ul style="list-style-type: none"> Identify, manage and report risks. Share risks with other people likely to be exposed to/affected by the risk. Act appropriately to these risks when undertaking roles and responsibilities.
All Staff	<ul style="list-style-type: none"> Identify risks and report them to the appropriate line or project manager. Act appropriately to these risks when undertaking roles and responsibilities

Essential supporting information

Definitions

Risk- is the impact of an uncertain event or condition that, if it occurs, has a positive or negative effect on the things that we value and want to achieve.

Risk Management- involves analysing the likelihood and impact or risks, developing strategies to minimize harm and monitoring the effectiveness of these measures is the knowledge, behaviour and practices that we use to control the risks that can impact on the things we value. Risk management aims to reduce threats and maximise opportunities.

Key relevant documents

Include the following: (in hierarchical order)

- [Risk Management Framework](#)
- [Audit and Risk Subcommittee – Terms of Reference](#)
- [The Pathways Te Huarahi Tika Quality Management Process](#)
- [Legislative Compliance Policy](#)
- [ISO 31000-2018 \(Risk Management – Guidelines\)](#)

Risk Management Policy

- **Council's commitment to risk management**
- **Roles and responsibilities around risk**
- **Why we do it**

Purpose

The purpose of this policy is to outline Northland Regional Council's commitment to effective risk management, including the principles, objectives, and behaviours required to support consistent and proactive risk practices across all areas of the council.

Scope

This policy applies to all staff, elected members and those working on behalf of council.

Policy Statement

1. Commitment to risk management

Northland Regional Council is committed to council-wide risk management principles and processes to ensure consistent, efficient and effective assessment of risk in all planning, decision making and operational procedures.

Risk management is the systematic process of identifying, assessing, and mitigating threats or uncertainties that can affect an organization. This involves analysing the likelihood and impact or risks, developing strategies to minimise harm and monitoring the effectiveness of these measures.

2. Objectives

- Embed risk management as an integral part of all council activities.
- Provide a safe and secure environment for employees, contractors and visitors to our workplaces.
- Limit loss or damage to property and other assets.
- Limit interruption to business continuity.
- Be agile and responsive to emerging and changing risks.
- Ensure a structured, comprehensive and effective approach.
- Continually improve risk management through learning, experience, reporting and review.
- Meet or exceed international best practice standards.

3. Principles

Council's risk management approach is guided by the following principles:

- Risk management creates and protects value
- It is an integral part of all organisational process

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IF PRINTED THIS POLICY IS VALID AT DATE OF PRINT



- It is a part of decision making
- It explicitly addresses uncertainty
- It is systematic, structured and timely
- It is based on the best available information
- It is tailored
- It takes human and cultural factors into account
- It is transparent and inclusive
- It is dynamic, iterative and responsive to change
- It facilitates continual improvement of the organisation

Council's risk management is consistent with International standard practice, as is outlined in the [Risk Management Framework](#).

Roles and responsibilities

The following table outlines the risk management responsibilities by position at council.

Position	Roles and Responsibilities
Elected Members	<ul style="list-style-type: none"> • Ensure that risks are adequately considered when setting council's vision, mission and community outcomes. • Understand and assess the risks facing the organisation in achieving its vision, mission and community outcomes.
Audit and Risk Committee	<ul style="list-style-type: none"> • Understand the risks facing council in achieving its vision, mission and community outcomes, assess risk appropriateness and ensure that systems are operating effectively. • Provide assurance to council.
Chief Executive Officer	<ul style="list-style-type: none"> • Advocate risk identification and management across the organisation • Assure the Audit and Risk committee and Council that risks are being identified and managed. • Assure the Audit and Risk committee and Council that systems to manage risks are implemented and operating effectively.
Group Managers	<ul style="list-style-type: none"> • Identify and record risks in the register. • Promotion of a risk management culture to managers and staff • Assure the Chief Executive Officer that risks to council are identified and managed.
Risk and Assurance Lead	<ul style="list-style-type: none"> • Ensure that Strategic and group risks are reviewed maintaining the overdue non-compliant register. • Facilitate regular reporting of risk to the Audit and Risk committee. • Undertake reviews of risk processes and appetite as necessary and/or as directed by the Audit and Risk committee. • Manage Deep Dive reporting for Audit and Risk committee meetings. • Manage risk registers within council systems, providing support and training where required.
People and Culture Manager	<ul style="list-style-type: none"> • Manage and Report Health and Safety risks to ELT and Audit and Risk committee.
Project Managers	<ul style="list-style-type: none"> • Identify, manage and report project risks within council systems.

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IF PRINTED THIS POLICY IS VALID AT DATE OF PRINT

	<ul style="list-style-type: none">Regular review and update to the risk register.
Risk Owners	<ul style="list-style-type: none">Identify, manage and report risks.Share risks with other people likely to be exposed to/affected by the risk.Act appropriately to these risks when undertaking roles and responsibilities.
All Staff	<ul style="list-style-type: none">Identify risks and report them to the appropriate line or project manager.Act appropriately to these risks when undertaking roles and responsibilities

Essential supporting information

Definitions

Risk- The impact of an uncertain event or condition that, if it occurs, has a positive or negative effect on the things that we value and want to achieve.

Risk Management- The analysis of the likelihood and impact or risks, development of strategies to minimize harm and monitoring the effectiveness of these measures. It includes the knowledge, behaviour and practices that we use to control the risks that can impact on the things we value. Risk management aims to reduce threats and maximise opportunities.

Key relevant documents

Include the following: (in hierarchical order)

- [Risk Management Framework](#)
- [Audit and Risk Subcommittee – Terms of Reference](#)
- [Internal Audit Process](#)
- [Legislative Compliance Policy](#)

TITLE: Risk Management Activity Update August 2025

From: Mandy Tepania, Audit and Assurance Lead

Authorised by Bruce Howse, Pou Taumatua – Group Manager Corporate Services, on 12
Group Manager/s: August 2025

Whakarāpopototanga / Executive summary

The Risk Management Activity Update Report outlines the summary of the Council's progress in risk management related activities including Strategic and Operational.

Ngā mahi tūtohutia / Recommendation

That the report 'Risk Management Activity Update August 2025' by Mandy Tepania, Audit and Assurance Lead and dated 5 August 2025, be received.

Background/Tuhinga

Risk can be simply defined as "The effect of uncertainty on objectives"; Risk management is the assessment of this uncertainty, and encompasses both the likelihood of something happening, and the consequences or impacts if it were to happen, which can introduce both opportunity and hazards.

Risk needs to be understood to make informed decisions.

The council uses tools to manage risk including a risk management framework, policy, and risk register which is maintained in the council's Process Manager (Promapp) risk module. Council maintains Strategic, Operational Groups, health and safety and project risk registers.

Strategic Risks:

Risks become strategic in nature (as opposed to operational) where the risk widely impacts the whole organisation, as opposed to limited department. These risks are significant because they impact on the ability of the council to deliver against the Long-Term Plan (LTP). LTP holds the council accountable for their activities and long-term focus.

Operational Group Risks:

An operational risk presents a potential impact on the council's individual groups achieving their objectives. Operational group risks are identified and managed by group managers and their teams. Group risk must be regularly reviewed and reported as evidence of management.

Risk Management Matrix Evaluation Tool (to explain the ranking against each risk)

Likelihood	Consequence				
	Inconsequential (1)	Minor (2)	Moderate (3)	Major (4)	Severe (5)
Frequent (5)	5 Moderate	10 High	15 Extreme	20 Extreme	25 Extreme
Often (4)	4 Low	8 High	12 High	16 Extreme	20 Extreme
Likely (3)	3 Low	6 Moderate	9 High	12 High	15 Extreme

Likelihood	Consequence				
	Inconsequential (1)	Minor (2)	Moderate (3)	Major (4)	Severe (5)
Possible (2)	2 Low	4 Low	6 Moderate	8 High	10 High
Rare (1)	1 Low	2 Low	3 Low	4 Low	5 Moderate

Progress on risk management since the last meeting of the Audit, Risk and Finance Subcommittee includes:

1. The Strategic and Operational group risk registers have been refreshed following leadership review.
2. The risks and their treatment/controls (mitigation action/s) have been managed by staff through the Process Manager (Promapp) risk module. The monitoring of the strategic, corporate, operational and fraud, corruption and dishonesty risk registers is performed by the Corporate Strategy team monthly.

This report provides several summaries:

- **Table 1** provides a brief overview of risks that have been updated/ added over the past quarter.
- **Table 2** provides a table of high rated risks and above for Corporate/NRC Wide (Strategic) risks.
 - Graph showing the strategic/corporate risk trend Feb 2024- Aug 2025.
- **Table 3** provides a table of high rated risks and above for Operational services
 - Graph showing Operational risk trend Feb 2024 – Aug 2025.
- Deep Dive Schedule is summarised in **Table 4**.
- The Strategic/Corporate and Operational risk register for August 2025, pre-control (inherent) and post control (residual) is **Attachment 1**.
- Deep Dive Report – Policies and Protocols Risk Response – **Attachment 2**.
- Riskpool Mutual Liability to Northland Regional Council – **Attachment 3**.

Table 1. Risks updated

Risk ID	Risk Title
R00281	Government Actions Impacting Community and Local Government Relations has now been updated to: Government Reform
	<i>Updated Activity:</i> Updated the risk statement
Strategic Risk	A new emerging risk has been identified concerning central government policy, which has the potential to influence community relationships within local government. It is crucial to support managers in understanding this situation and equipping them to effectively support their staff. Changed now to: The risk to council is if we don't engage and be proactive with the ability to influence change. This needs to be determined from both governance and management alike.
R00080	Changes in legislation & central Government policy impacting council's resources, budgets and activities.

	<i>Risk Update: Statement change and Residual increase:</i>
Strategic Risk	<p>From:</p> <p>There is a risk to NRC that legislation & central government policy could change, which can potentially impact Council's resources, budgets and operational activities. These changes can cause significant costs to council and ratepayers and effect relationships with Te Tiriti partners and the community.</p> <p>To:</p> <p>Central government is initiating major changes to the resource management system and has signalled a very limited implementation and transition window. The government is also underway with reforms to the Local Government Act which could change the definition of core services and council's ability to rate for services not deemed core services by the amended Act. The Government has also signalled an intent for more widespread reforms to local government, which appear necessary to properly implement the resource management reforms.</p>
R00284	IRIS Next Gen Programme
	<i>Risk Update: Information Update – No Residual rate change</i>
	<p>The IRIS Next Gen programme has a strong governance framework, with an Advisory group and Steering group with representatives from all participating councils, RSHL and Datacom. Each of these groups meet monthly and Change Requests are approved by the RSHL Board.</p> <p>In respect of the current IRIS Next Gen programme:</p> <ul style="list-style-type: none"> • a Project Variation (<i>PVAR003 IRIS NextGen Design Build Test Phase - Variation 003</i>) formalising the revised timelines from the build delay has been endorsed by the Programme Steering Group, now scheduled for completion by November 2025 • A formal Change request is being prepared for approval by the RSHL Board • As a result of the Project Variation, Otago Regional Council (the Pilot implementation for the programme), RSHL & Datacom have agreed <ul style="list-style-type: none"> ○ the pilot implementation will begin in November 20205, rather than to will May 2025 as previously planned due to the software not being ready ○ the following councils cannot absorb the 5-month delay, so are also delayed by 5-7 months, although the council sequencing remains. • The potential for project costs to increase is mitigated through RSHL and the participating councils negotiating a fixed pricing model for the software build. • Any Change Requests that create a cost increase is apportioned via the agreed cost allocation model, so NRC's share is currently set at 10.4%. • Reconciliation of the NRC project budget in July 2025 confirms the forecasted project cost remain with the rate set in the 2024-2034 LTP. • As a result of the build delay, NRC has opted to divert resource focus to accelerate the data management phase pf the project, bringing some of the planned work forward, while maintaining an appropriate level of engagement as the programme continues. • The costs incurred for maintaining the small project team (1.75 FTE) through this delay is not significant at this time, as the forecasted costs remain within budget. For the other 2.0 FTE resources in the project team, one is fully cost recovered from RSHL and the other is a permanent role (so not project funded).

	<ul style="list-style-type: none"> This option was preferred over putting project work and team on hold due to the delay, which would increase the risk of being able to maintain oversight of the programme.
R00221	Workload
	<i>Risk Update: Trending ↓</i>
Strategic Risk	<p>Staff surveys have been analysed to see if the risk around workload has reduced. It was agreed there was a decrease in workload being highlighted as one of the top stressors for staff.</p> <p>Initial rate Frequent/Major 20 Residual rate Likely/Moderate 9</p>
R00285	Core technology applications and systems are not adopted or used consistently to support organisational processes and information
	<i>Risk Update: Trending ↓</i>
Corporate Risk	<p>This results in: - data being stored inconsistently, duplicated, in silos - loss of knowledge when staff leave - additional costs for unnecessary software - policies and procedures not followed as per the Guiding Principles in the 2025 Digital Strategy.</p> <p>Inherent rate Often/Moderate 12 Residual rate with mitigations Likely/Moderate 9</p>
R00288	Impact of Local Elections on governance and potential shifts in strategic priorities or policy direction
	<i>Risk Update: New</i>
Corporate Risk	<p>The upcoming local elections may result in significant changes to governance structures and leadership. Decision making, reduced agility and a lack of cohesion may all hinder the timely execution of strategic initiatives and affect stakeholder confidence.</p> <p>Inherent rating Likely/Major 12 Residual rating Possible/Major 8</p>
R00283	Inefficient Contract Management Program available to meet the contractual needs of Council
	<i>Risk Update: Schedule for Improvements is underway</i>
Strategic Risk	<p>Considering recommendations from two external audits with relation to Procurement, Contract Management and Health & Safety requirements, a schedule for improvements has been developed to ensure all criteria is met during implementation. This includes communications with staff, training information updates and ongoing overview support and reporting moving forward.</p> <p>Inherent rating was 15 (Frequent /Moderate) Residual rating is 12 (Often/Moderate)</p>
R00287	Insufficient Funding from Property Sales for Northport Group Ltd transaction
	<i>Risk Update: New</i>
Strategic Risk	<p>There is a risk that the commercial properties identified in Tranche 1 and Tranche 2 may not be sold in full, in part, or within the required timeframe. This could result in a shortfall in the expected proceeds (currently estimated in the first year at \$5.4M vs. budgeted \$6.769M), necessitating the earlier-than-planned sale of Tranche 2 properties or requiring council to source alternative funding, potentially impacting financial planning and project timelines.</p> <p>Inherent rate Possible/Major 8 Residual rate Possible/Moderate 6</p>
R00269	Continuation of PF2050 Funding

& R00280	Continuation of Kauri Protection Funding
	<i>Risk Update: Trending ↑</i>
Operational Risks	Although the residual ratings for both risks have remained unchanged, the likelihood and potential impact are expected to increase as these programmes approach the end of its funding window. This heightens the overall risk exposure and warrants closer monitoring and planning.
R00239	Dealing with aggressive people – psychological harm
	<i>Risk Update: Archived</i> R00012 'Potential Non-Compliance with Health & Safety at work Act 2014'
Strategic	Dealing with aggressive people is considered an operational risk and is managed through health and safety incident reporting, in line with obligations outlined in the Health and Safety at Work Act 2015 and captured under Risk R00012.
R00229	Management of data and information assets
	<i>Risk Update: For your information</i>
Strategic Risk	Data Loss - There is a risk of physical data and information being lost or destroyed through the decluttering phase of the ground floor refurbishment, if staff are not aware of the value of some information, or it is moved to or stored in other cupboards without being catalogued, or IM staff are not available to provide guidance due to other priorities.

Table 1. Strategic /Corporate risks listed -rating high and above

Key rating		Extreme	High	Moderate	Low
Key – Trend		Increasing (I)	Decreasing (D)	New (N)	Static (S)
#	Risk Statement	Inherent Rating	Residual Rating	Trend	
1 R00080	Changes in legislation and central government policy impacting council’s resources, budgets, and activities.	16	12	D ↓	
Controls	Central government is initiating major changes to the resource management system and has signalled a very limited implementation and transition window. The government is also underway with reforms to the Local Government Act which could change the definition of core services and council’s ability to rate for services not deemed core services by the amended Act. The Government has also signalled an intent for more widespread reforms to local government, which appear necessary to properly implement the resource management reforms.				
2 R00284	IRIS Next Gen Programme	12	9	N	
Controls	The cost of developing the agreed functionality to the Datascape product for the Regional Sector system is fixed priced. The Fixed price approach agreed by RSHL and participating councils to reduce risk of cost and scope creep to the build.				
3 R00283	Inefficient Contract Management program available to meet the contractual need of NRC	15	12	D ↓	

Controls	The Contract Management Upgrade program is underway with monitoring of current contracts. The internal audit report also provides details on the current status.			
4 R00221	Workload	20	9	D ↓
Controls	Analysis of workload issues; Resolve roadblocks as necessary; Consider impact on resources (\$, people etc) work taken on aligns with LTP; Workloads improvement program and frameworks, e.g. streamlining P&C processes, improvement IT systems			
5 R00012	Potential Non-compliance with Health and Safety at Work Act 2015	20	8	D ↓
Controls	Health & Safety Strategy project underway; H&S terms of reference established; H&S policy and procedures along with training; H&S hazard register; Monitor and reporting on incidents, trends to ELT and Audit & Risk committee.			
6 R00014	Cyber security attack	20	8	D ↓
Controls	Identify – Detect – Protect – Respond – Recover – Manager The ALGIM Cybersecurity programme/framework provides a clear roadmap indicating actions to be followed under each control with expected outcomes to be monitored for completion/improvement.			
7 R00229	Management of data and information assets	16	12	S ↔
Controls	Project Plan development and monitored to manage data/information assets; Capability and knowledge to mitigate reliance on suppliers; Training programs and continued review/policy/procedure reviews; Work collaboratively testing environment developed programs; database backed up regularly; Robust test plans; Disaster management policy; security controls; Regular audits and reporting to monitor processes and governance			
8 R00281	Government Actions Impacting Community and Local Government Relations	20	9	D ↓
Statement	A new emerging risk has been identified concerning central government policy, which has the potential to influence community relationships within local government. It is crucial to support managers in understanding this situation and equipping them to effectively support their staff.			
9 R00282	Significant Change Management	16	12	S ↔
Statement	The planned cumulative changes for 2025, including modifications to workspaces, implementation of new timesheet systems, and adjustments to Business as Usual (BAU) requirements, pose several risks to staff. These changes may lead to temporary disruptions, confusion, and a need for significant adaptation. The introduction of new technologies and processes requires comprehensive training, which could impact staff availability and productivity. Additionally, scheduling training sessions may conflict with regular work hours, further affecting BAU operations.			
10 R00279	Biosecurity Incursions	15	10	S ↔

Statement	Biosecurity incursions pose significant risks to council, impacting various aspects of their operations and responsibilities. Biosecurity breaches can lead to substantial economic losses, invasive species can harm biodiversity and degradation of natural habitats, post a risk to human health and cause operational challenges with increased costs and resource demands.			
11 R00239	Dealing with aggressive people – psychological harm	15	10	S ↔
Controls	Policy and Process for managing EAP/Staff counselling, Suspicious Activity, In-Reach Emergency Activation, Work Safely in the field planning, process for aggressive callers; Panic alarm and lockdown procedures; use of the Buddy System; De-escalation Training available for all staff providing tools to read a situation and act accordingly.			
12 R00285	Core technology applications and systems are not adopted or used consistently to support organisational processes and information	12	9	N
Controls	There is a risk that: - teams or individuals choose to use alternate applications or systems as their personal preference. - core applications and systems are not used consistently across the entire organisation. - teams or individuals choose to build or develop bespoke systems for data storage or reporting.			
13 R00094	Investment Portfolio Financial Risk	15	9	S ↔
Controls	Mitigate by management-monthly account review, internal audit reporting, established operating cost reserve plan; active investment strategy; investment reviews by CEO and independent advisors, -			
14 R00287	Insufficient Funding from Property Sales for Northport Group Ltd transaction	8	6	N
Controls	Explore contingency funding sources			
15 R00228	Policies and Protocols not clearly documented or followed	16	8	S ↔
Controls	Policy framework schedule: staff trained in finding and understanding policy and procedure requirements; Infrastructure in place (promapp, policy register, audits); Delegations manual; Contract management program, policy, procedures and training; Tendering policy & procedures; Current audit of all policy and procedures to ensure current and compliant			
16 R00258	Unintended negative consequence for partners/stakeholders through council activities	15	8	S ↔
Controls	Te Tai Tokerau Māori and Council (TTMAC) Working party to ensure views of Māori are included in council functions; Ongoing collaboration with community working groups (Awanui River WG, Urban Whangārei WG, Kerikeri River WG etc 8 in total); Collaborative approach through joint committees, with implementation and planning			

17 R00288	Impact Local Elections can have on governance and potential shifts in strategic priorities or policy direction	12	8	N
Controls	The upcoming local elections may result in significant changes to governance structures and leadership. Decision making, reduced agility and a lack of cohesion may all hinder the timely execution of strategic initiatives and affect stakeholder confidence.			

Graphics below provides Strategic/Corporate Trends reported 2024/25

R00281, R00012, R00221, R00283, R00280, R00014 decreased

R00239 has been archived

R00287, R00285, R00284, R00288 are new or have risk statement adjusted in the register

Risks rated high and above that did not change are showing as static (6)

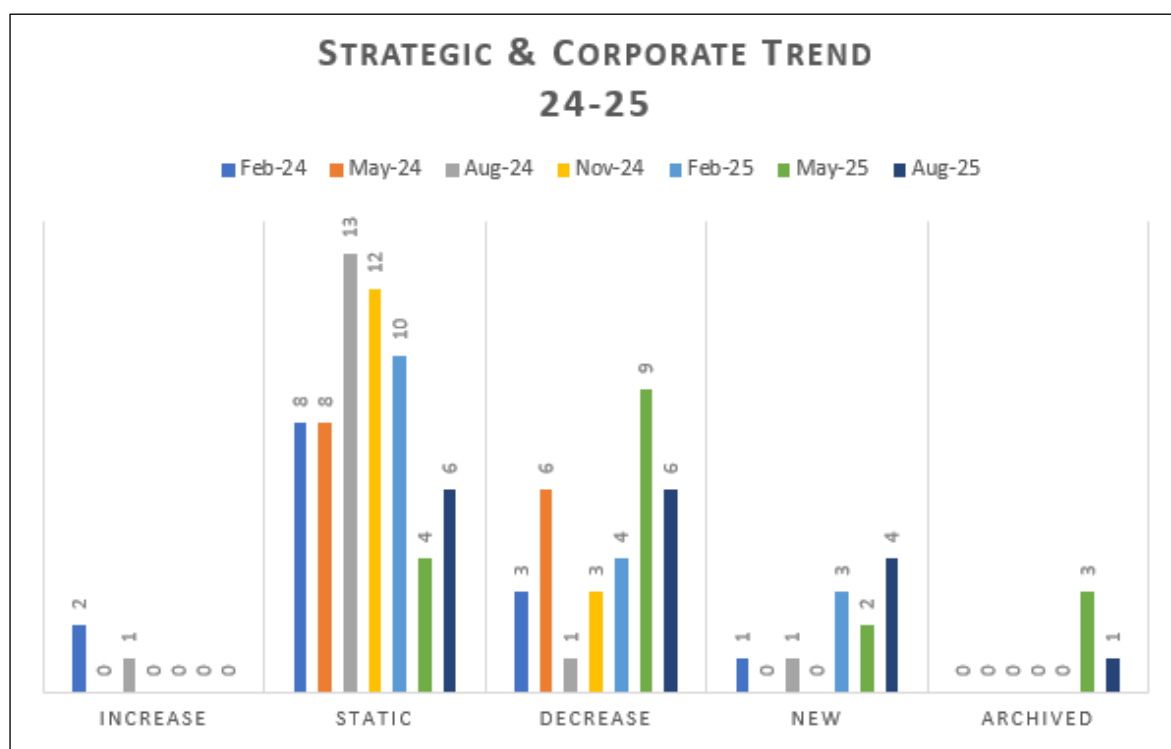


Table 3. Operational risks listed (High risk ranking and above)

Key rating		Extreme	High	Moderate	Low		
Key – Trend		Increasing (I)	Decreasing (D)	New (N)	Static (S)		
#		Risk Statement			Inherent Rating	Residual Rating	Trend
1: R00257		Caulerpa – Threat to marine life, shellfish and marine diversity along Northlands coastline			25	25	S ↔
Controls		Project plan is on track - approaching a key milestone. A product to support management of Caulerpa is due into the country in the next few months.					
2: R00269		Continuation of PF2050 Funding			25	12	D ↓

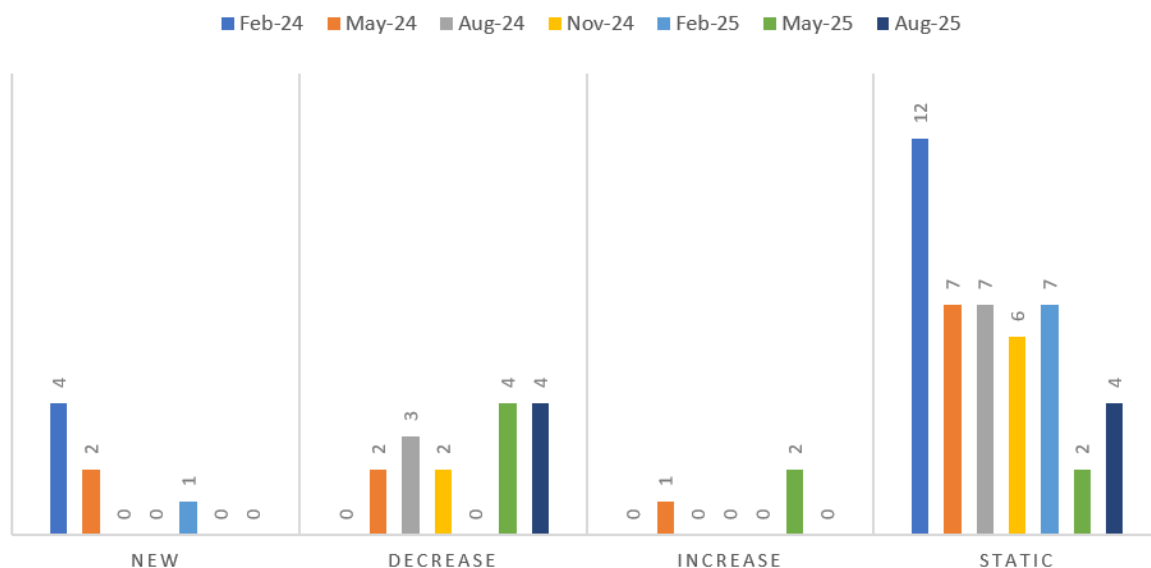
Status update	Still uncertainty for funding and staff are following up with Foundation North as to opportunities for the continuation of the program.			
3: R00270	Tāiki ē Strategic intent Te Tiriti Strategy and Implementation Plan	25	8	D ↓
Status update	Regular meetings and briefings with the Chair and Te Raki councillors			
4: R00260	Lack of public knowledge – New Rules relating to Marine Protected Areas (Mimiwhangata and Rakaumangamanga)	15	6	D ↓
Status update	Risk reduction with more time for people to become acquainted with the rules and with more surveillance being carried out.			
5: R00230	Climate change response	20	8	D ↓
Status update	Risk trend remains static with all controls in place and being managed. Progress on climate change strategies will improve as new initiatives approved through the recent LTP come online.			
6: R00131	Treaty Settlements	16	12	S ↔
Controls	Proactive council involvement in the Treaty Settlement process; Participation in cross-council initiative to better inform central government about costs of treaty settlement implementation; Resource the work and be ready for opportunities; Meet regularly with Ministers and Te Arawhiti Agency to stay informed.			
7: R00267	Gold Clam Biosecurity Response	25	10	S ↔
Controls	A letter has been sent to MPI requesting a controlled area for Kai Iwi Lakes to prevent motorised boats using the Lake.			
8: R00280	Continuation of Kauri Protection Funding	12	12	S ↔
Controls	Uncertainty for funding remains and staff are seeking clarity from MPI with future funding beyond 2026/27 unclear.			

Graphics below visualise Operational Risk Trends for 2024/25

R00260, R00270, R00230, R00269 have decreased.

Risks rated high and above against the risk matrix that did not change are showing as static for August (4).

OPERATIONAL RISK TREND 24-25



Deep Dives

The Corporate Strategy Team facilitates risk owners to provide deep dives into each risk in accordance with the following schedule (**Table 4**), initially focussing on the risk with the highest pre-controls risk rating or where specifically requested due to increasing risk ratings.

Table 4. Risk deep dive schedule.

#	Risks	Aug 2024	Nov 2024	Feb 2025	May 2025	Aug 2025	Nov 2025	Feb 2026
14	Dealing with Aggressive People	✓						
7	Management of data and information assets		✓					
	Cyber Security Update		✓					
9	Use of Firearms			✓				
7	Gold Clam Biosecurity Response				✓			
16	Policies and protocols					✓		
9	Significant Change Management						✓	


Riskpool Liability to Northland Regional Council

Northland Regional Council was previously a member of the New Zealand Mutual Liability Riskpool scheme ('Riskpool'). The Scheme is in wind down, however the Council has an ongoing obligation to contribute to the Riskpool scheme should a call be made in respect of any historical claims (to the extent those claims are not covered by reinsurance or where reinsurance is delayed), and to fund the ongoing operation of the scheme. The **attached** letter provides an update on this matter.

On 8 August 2025, Riskpool issued a formal notice to NRC (**attached**) advising of a member contribution call totalling \$29,009.59 (excluding GST), payable by 20 September 2025. This call is part of a broader \$3.7 million funding requirement across all members to cover operational costs, legal and advisory fees, and liabilities from previous Fund Years, particularly in light of resumed litigation following the Supreme Court's decision in *LGMFT v Napier City Council* <https://www.courtsofnz.govt.nz/assets/cases/2023/2023-NZSC-97.pdf>.

Attachments/Ngā tapirihanga

Attachment 1: Risk Register WayPoint Dashboard [↓](#) 

Attachment 2: Deep Dive Policies and Protocols Risk Response Report [↓](#) 

Attachment 3: Riskpool Mutual Liability to Northland Regional Council [↓](#) 

Attachment 4: Riskpool Formal Notice [↓](#) 

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Audit & Risk – Deep Dive Report

Title:	Policies and protocols not clearly documented and followed		
Risk ID:	R00228		
Risk Description:	There is a risk that all policies and protocols are not clearly documented and consistently followed		
Inherent Risk Score: <i>Unmitigated</i>	Likelihood: 4	Consequence: 4	Inherent risk: 16
Underlying Causes (Threats): <i>How do you see these causes now – have they changed or are there new causes?</i>	<p>Policy addresses issues where the Council requires a specific course of action to be followed. It clearly outlines:</p> <ul style="list-style-type: none"> • What the required action is, • Who is responsible for implementing it, and • Why the policy is necessary. <p>Policies ensure compliance with legislative and statutory obligations while aligning Council operations with its strategic direction, as articulated in the internal policy framework. They provide clear guidance, promote consistency, support accountability, and enhance clarity in decision-making and operational practices.</p> <p>The underlying risks for policies at council include not being actively managed, regularly maintained, including their associated documents along with a consistent policy writing approach. This has led to the following issues:</p> <ul style="list-style-type: none"> • Inconsistency in Structure and Content: Policies vary in format and content, with some documents being written with instructions, processes, procedures and suggestive wording that is more appropriate for operational guidelines or manuals. • Lack of clarity and inconsistent terminology: Many policies have been written using informal first person “you”, “your” or ambiguous terms such as “our people” which has created confusion about who policies applies to and leaving room for interpretation. • Lack of Directive Language: Instead of using clear, directive language, policies have often been suggestive in tone creating a lack of clarity and leaving room for interpretation. <p>These issues in summary underpin the lack of following and enforceability of the documents.</p>		
Current Treatments: <i>Are you assured that these treatments are</i>	<p>Current treatments have included:</p> <ol style="list-style-type: none"> 1. Amended the council’s internal policy framework internal policy template. 		

<p><i>effective, sustainable, and evidenced?</i></p> <p><i>Would you do more, or is the risk reduced?</i></p>	<ol style="list-style-type: none"> 2. Implemented oversight given to policy development from a policy analyst. 3. Policies are set up for regular review and monitored to ensure timelines are kept including approvals. 4. Document control updated to enhance councils' management and version control processes. 5. Feedback pathway created via service desk for policy inquiries. 6. The promotion and endorsement of policies has been increasing awareness of our policies. 7. On going identification and updating of ineffective policy content, inconsistent language and policies that no longer serve the organisation's needs. 8. Quality control implementing rigorous quality checks from levels of management. 9. Annual internal audit. 		
<p>Recommendations for Management/Planning Treatments:</p> <p><i>If more needs to be done, what do you suggest – and what are the limitations or constraints</i></p>	<p>Further recommendations are to ensure any policy subject expert is the appropriate person and given appropriate training.</p> <p>The appropriate management of the policies.</p>		
<p>Improvements to span of control:</p> <p><i>How will the implementation of planned treatments be effective in improving our ability to mitigate the risk?</i></p>	<ul style="list-style-type: none"> • On-going overview of the policy program • Control over the policy templates • Effective training and oversight of content development • Mindful of system or program changes • Mindful of legislative changes • Track the enforcement/adherence and use of policies 		
<p>Date actions to be delivered:</p> <p><i>Or is it ongoing?</i></p>	Business as usual		
<p>Target Residual Risk Score:</p> <p><i>Assumes all mitigations in place and where we would like to get it</i></p>	<p>Likelihood:</p> <p>2</p>	<p>Consequence:</p> <p>4</p>	<p>Residual Risk</p> <p>8</p>

Report completed by:	Samuel van Hout
Date:	31 July 2025



24 July 2025

Bruce Howse
Group Manager Corporate Services
Northland Regional Council
Private Bag 752
KAIKOHE 440

bruceh@nrc.govt.nz

Dear Bruce

Riskpool: Update to Members

We write further to the Riskpool webinar on 11 July. To all our members who were able to attend, thank you. The webinar provided a useful opportunity for us to engage with our members directly and update you on our ongoing work.

To support you going forward, we have included below a summary of information and resources which we hope will be of assistance.

Webinar Materials

For any members who were unable to attend the webinar, or for those who would like a quick refresher, please find below a link to the webinar recording, along with the accompanying PowerPoint presentation and a full transcript:

Link: [Riskpool Webinar 11 July 2025](#)

Password: Va9%Ru2@S2

Snapshot of Riskpool

We appreciate that, for many of our members, staff changes over time may have led to a loss of institutional knowledge about Riskpool. With that in mind, we have prepared a brief snapshot outlining Riskpool's history, current domestic litigation, reinsurance recovery, calls on members for funding and the proposed variation to the Riskpool Trust Deed. Please find this attached as **Appendix 1** for your reference.

Annual Reports

We are aware members will be required to complete a disclosure statement in their Annual Reports about the current position of potential calls and the winding up of Riskpool. We have prepared the following draft comment as a guide, should members wish to use it:

“Northland Regional Council was previously a member of the New Zealand Mutual Liability Riskpool scheme (‘Riskpool’). The Scheme is in wind down, however the Council has an ongoing obligation to contribute to the Riskpool scheme should a call be made in respect of any historical claims (to the extent those claims are not covered by reinsurance or where reinsurance is delayed), and to fund the ongoing operation of the scheme.

The likelihood of any call in respect of historical claims diminishes with each year as limitation periods expire. However, following the Supreme Court decision on 1 August 2023 in Napier City Council v Local Government Mutual Funds Trustee Limited, which addressed the treatment of claims against Riskpool that involved a mixture of non-weathertightness and weathertightness defects (mixed claims), a number of proceedings against Riskpool, which were stayed pending the Supreme Court’s decision in 2023, have since recommenced.

Several member Councils have brought proceedings against Riskpool related to mixed claims. Two of these claims are currently listed for trial in September 2025. These cases are important in clarifying the scope of Riskpool’s historical obligations and the interpretation of past Scheme terms. At this point the total potential liability of the outstanding claims against Riskpool is unable to be quantified.”

Percentage Contributions

As indicated in our letter on 26 May 2025, we anticipate making a further call in August this year, which we expect to be in the region of \$3.3 to \$3.7 million in total across the membership, not per individual member.

We are in the process of finalising the exact contribution figures for each member. These figures will be available shortly. Individual contributions may vary as a proportion of the overall call amount, depending on which deficit fund years are called on.

In the interests of supporting members in their budgetary planning and in order to provide an indicative range of contributions, please see attached as **Appendix 2** the proportional contribution to each Riskpool fund year for Northland Regional Council.

Trust Variation

The Riskpool Trust Deed dates from 1997, with further amendments having been made in 2007. As part of Riskpool's ongoing governance review, deficiencies in the current Trust Deed have been identified. Those issues require correction to bring the governance framework into line with best practice.

To address this, we will commence a formal consultation on amendments to the Trust Deed in August. We encourage all our members to engage in this process.

Once the consultation begins, we will be happy to arrange one-on-one sessions and virtual briefings with members to explain the proposed changes and answer any questions you may have.

We hope this information is helpful. If you have any questions or would like to discuss any of the matters outlined above, please don't hesitate to contact me at:
stephen.ferson@riskpool.org.nz

Ngā mihi



Stephen Ferson
Acting General Counsel
Local Government Mutual Funds Trustee Ltd (Riskpool)



Snapshot of Riskpool - July 2025

1. History of Riskpool

- Established in 1997 by local authorities and Civic Assurance (now Civic Financial Service Ltd) to provide collective risk protection to local government entities in New Zealand.
- Formed in response to an unreliable and expensive commercial insurance market, particularly after the withdrawal of key insurers from local government liability cover.
- Structured as a not-for-profit mutual fund, with a board of trustees acting in the best interests of members.
- Key achievements include:
 - i. The Riskpool Scheme ran for 20 years and has returned \$178.7 million in claims to its members in comparison to \$147.5 million in contributions over that period.
 - ii. Strategic litigation management to prevent adverse precedent and promote legal clarity.
 - iii. Providing cover to local councils at a time of record litigation.
 - iv. Securing ground-up reinsurance out of London.
- Since 2017, Riskpool has been in a managed wind-down phase, focused on legacy claims, reinsurance recovery, and trust governance.

2. Ongoing Domestic Litigation

- The Supreme Court's decision in Local Government Mutual Funds Trustee Ltd v Napier City Council [2023] NZSC 97, addressed the treatment of claims against Riskpool that involve a mixture of non-weathertightness and weathertightness defects (mixed claims).
- At present, there are a number of mixed claims against Riskpool. Two of these claims go to trial in September 2025.
- The Board is working closely with its legal advisers to ensure the efficient preparation for trial. These cases are important in clarifying the scope of Riskpool's historical obligations and the interpretation of past Scheme terms. Riskpool is keeping reinsurers updated on the progress of the domestic litigation.



3. Reinsurance

- Reinsurance is the primary source of funds for claims that Riskpool accepts. If there is delay in reinsurers paying a claim or if reinsurers refuse to pay, then the cost of that claim falls on Riskpool's membership. The process of ensuring the reinsurers meet their indemnity obligations can be protracted, with multiple reinsurers in the "tower" for any fund year.
- The relevant reinsurance contracts are governed by English Law, with disputes to be resolved in the English commercial court in London. This adds complexity and potential delay to any resolution.
- Riskpool is engaging with London-based brokers and counsel, as well as NZ-based legal advisers, to proactively pursue recovery under existing reinsurance contracts.
- Litigation in the English courts remains a possibility and successful recovery is critical to reducing the scale of any further member calls.

4. Future Calls to Members

- Riskpool is actively working to expedite the process of managing legacy claims however, progressing claims and meeting liabilities in the short term involves costs that must be funded. As a result, members' future financial exposure remains uncertain.
- Many Member Councils operate under tight fiscal constraints, and advance warning of any call for funding is essential.
- As indicated in Riskpool's update to members in May 2025, a further call is anticipated in August 2025, in the region of \$3.3 to \$3.7 million in total (and not per Council). This call will fund:
 - i. Operational costs for FY 2025/26.
 - ii. Legal and advisory fees relating to reinsurance recovery and domestic litigation.
- Contributions will be apportioned according to deficits in fund years, which may differ from the March 2025 call.



5. Proposed Amendments to the Trust Deed

- The Riskpool Trust Deed dates from 1997, with further amendments having been made in 2007.
- As part of Riskpool's ongoing governance review, deficiencies in the current Trust Deed have been identified that require correction to bring the governance framework into line with best practice.
- A restated and modernised deed is now proposed. Key proposed changes include:
 - i. introducing clear indemnity provisions for the trustee company and its directors;
 - ii. clarifying the trustee company and its directors' powers in relation to the Scheme; and
 - iii. modernising technical provisions to reflect Riskpool's current structure.
- These proposed changes are essential to support effective governance as Riskpool moves toward wind-up.
- Under the Trust Deed, the proposed amendments must be approved by 90% of Riskpool's Members, before they can take effect.
- A draft Amended Trust Deed and supporting materials will be circulated to all members when Riskpool begins its formal consultation on amendments to the Trust Deed in August 2025.

Stephen Ferson
Acting General Counsel
Local Government Mutual Funds Trustee Ltd (Riskpool)

INITIAL CONTRIBUTION																					
PERCENTAGE		1997-98	1998-99	1999-00	2000-01	2001-02	2002-03	2003-04	2004-05	2005-06	2006-07	2007-08	2008-09	2009-10	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17
Fund Year		1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
Member																					
Northland Regional		0.00%	0.00%	0.50%	0.46%	0.45%	0.45%	0.40%	0.52%	0.50%	0.44%	0.42%	0.52%	0.54%	0.59%	0.62%	0.66%	0.64%	0.65%	1.40%	0.00%
Contributions		100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%



8 August 2025

Jono Gibbard
Chief Executive
Northland Regional Council
Private Bag 752
KAIKOHE 440

jong@nrc.govt.nz

Dear Jono

Riskpool: Call to Members

We would like to thank members again for your ongoing support. We hope that our letter of 24 July provided some useful background on the Riskpool Scheme, including updates on current domestic litigation, reinsurance recovery, member contributions, and the upcoming consultation on amendments to the Riskpool Trust Deed.

As signalled in that letter and in our previous correspondence, Riskpool anticipated the need to make a further call on members in August to fund deficits in previous Fund Years. Riskpool has carried out a review of its current and future liabilities and a call for \$3.7 million is necessary (across the membership, not per individual member). That funding will cover:

- i. Operational costs for the financial year 2025/26; and
- ii. Legal and advisory fees relating to reinsurance recovery and domestic litigation.

Following the Supreme Court's decision in LGMFT v Napier City Council [2023] NZSC 97, which addressed how claims involving a mix of weathertightness and non-weathertightness defects are treated under the Scheme, several stayed proceedings against Riskpool have recommenced. A number of member councils have brought mixed claims against Riskpool, with two cases listed for trial in September 2025. These trials will be significant in clarifying the scope of Riskpool's historic obligations and interpretation of past Scheme terms. Riskpool is working closely with our legal advisers to ensure these cases are ready for trial.

At the same time, Riskpool is actively pursuing cover for liabilities arising out of domestic litigation through ongoing dialogue with reinsurers and brokers in London. Reinsurance is the primary source of funds for claims that Riskpool accepts. If there is delay in reinsurers paying a claim or if reinsurers refuse to pay, then the cost of that claim falls on Riskpool's membership. The process of ensuring the reinsurers meet their indemnity obligations can be protracted, with multiple reinsurers in the "tower" for any Fund Year and the relevant reinsurance contracts governed by English Law. This has required the instruction of legal experts to advise on how best to approach the markets and secure cover for any existing or future liabilities.

Riskpool is committed to operating as efficiently and cost-effectively as possible while safeguarding the collective interests of our members. The work we are currently undertaking is essential to protecting those interests by ensuring claims are properly resolved and recoveries are pursued. However, this work is consuming significant resources (both in house and externally) creating unavoidable cost. Funding this work is critical to achieving the best long-term outcomes for all members and reducing the need for calls in the future.

In aggregate, over all Fund Years, Riskpool has incurred more in claims and expenses (before allowing for claims provisions) than has been received as contributions and calls from Members. This shortfall has historically been funded by way of a temporary loan from Civic Financial Services. In addition, there is more to be paid in respect of currently open claims spread across a few different Fund Years.

The cover under the Riskpool Scheme was arranged on a Fund Year basis from 1 July to 30 June. Members made an initial contribution to cover estimated claims and expenses arising from the Fund Year, with any deficits to be funded by further calls upon Members in proportion to their initial contribution. Any surplus can either be returned to Members or rolled into a future Fund Year.

The Board has resolved to make a call on Members to pay a contribution to fund the deficits for Fund Years 7, 10, 11, 12, 13, 14, 15, 18 and 19 being:

▪ Fund Year 7	\$0.075 million
▪ Fund Year 10	\$0.225 million
▪ Fund Year 11	\$0.215 million
▪ Fund Year 12	\$0.145 million
▪ Fund Year 13	\$0.220 million
▪ Fund Year 14	\$0.075 million
▪ Fund Year 15	\$0.295 million
▪ Fund Year 18	\$1.550 million
▪ Fund Year 19	\$0.900 million
Total	\$3.7 million

Riskpool's actuaries, Melville Jessup Weaver, have calculated the required contribution from each Member for those Fund Years.

The amount of the call for Northland Regional Council will be \$29,009.59 (excl GST) as calculated in the table attached to this letter. This is payable on 20 September 2025 (or earlier if you wish). An invoice from Riskpool for this amount is attached.

Once Riskpool has met the obligations noted above there will be a process of finalising each Fund Year. This will entail:

- Continuing to operate Riskpool until all claims are settled.
- Issuing further calls upon members for Fund Years still in deficit. The quantum of future calls will depend on the outcome of outstanding mixed defect claims and the reinsurers' position.
- For Fund Years in surplus either:
 - Returning funds to members, or
 - Offsetting against later Fund Years in deficit.

If you have any questions, please contact Stephen Ferson, Riskpool's General Counsel, at: stephen.ferson@riskpool.org.nz

Yours sincerely



Stephen Ferson
Acting General Counsel
Local Government Mutual Funds Trustee Ltd (Riskpool)

Member Council		Initial Contribution	2025 Call
Northland Regional Council	2003/04 Fund Year 7	\$26,197.50	\$301.62
	2006/07 Fund Year 10	\$37,623.01	\$983.23
	2007/08 Fund Year 11	\$32,000.00	\$896.07
	2008/09 Fund Year 12	\$32,000.00	\$755.27
	2009/10 Fund Year 13	\$22,000.00	\$1,180.92
	2010/11 Fund Year 14	\$22,000.00	\$439.69
	2011/12 Fund Year 15	\$24,000.00	\$1,831.35
	2014/15 Fund Year 18	\$28,222.00	\$10,026.07
	2015/16 Fund Year 19	\$26,811.00	\$12,595.38
Total 2025 Call (excl GST)			\$29,009.59



PO Box 5521
Wellington 6140

Phone: 04 978 1250
Fax: 04 978 1260

TAX INVOICE

Northland Regional Council
Private Bag 9021
WHANGAREI 0148

GST No: 71-101-940
Invoice No: C0345
Order No:
Date: 07/08/2025

Client No: 0041

Call FY7(2003-04), FY10(2006/07), FY 11(2007-08), FY12(2008/09), FY13(2009/10), FY14(20
FY15(2011/12), FY18(2014/15), FY19(2015/16)

Riskpool Call August 2025

Contribution: \$29,009.59

Sub-total	29,009.59
GST	4,351.44
Total Due NZD	\$33,361.03

AMOUNT NOW DUE FOR PAYMENT
Please pay by 20.09.2025

Payment can be made by:

- * **Direct Credit to our Bank Account: 01-0527-0061803-28**
- * **Please ensure that the Invoice no: C0345 is quoted.**
- * **Please send remittance to loadmin@civicfs.co.nz**

TITLE: **Fraud, Corruption and Dishonesty Activity Update**

From: Mandy Tepania, Audit and Assurance Lead

Authorised by Bruce Howse, Pou Taumatua – Group Manager Corporate Services, on 12
Group Manager/s: August 2025

Whakarāpopototanga / Executive summary

The Fraud Corruption and Dishonesty Update Report provides the current state and any updates since the last report.

Ngā mahi tūtohutia / Recommendation

That the report 'Fraud, Corruption and Dishonesty Activity Update' by Mandy Tepania, Audit and Assurance Lead and dated 5 August 2025, be received.

Background/Tuhinga

As a public sector organisation, the council is entrusted with ratepayer funds and its staff consultants, volunteers, contractors, and elected members are expected to maintain public trust by exhibiting the highest standards of integrity and honesty throughout all council's activities.

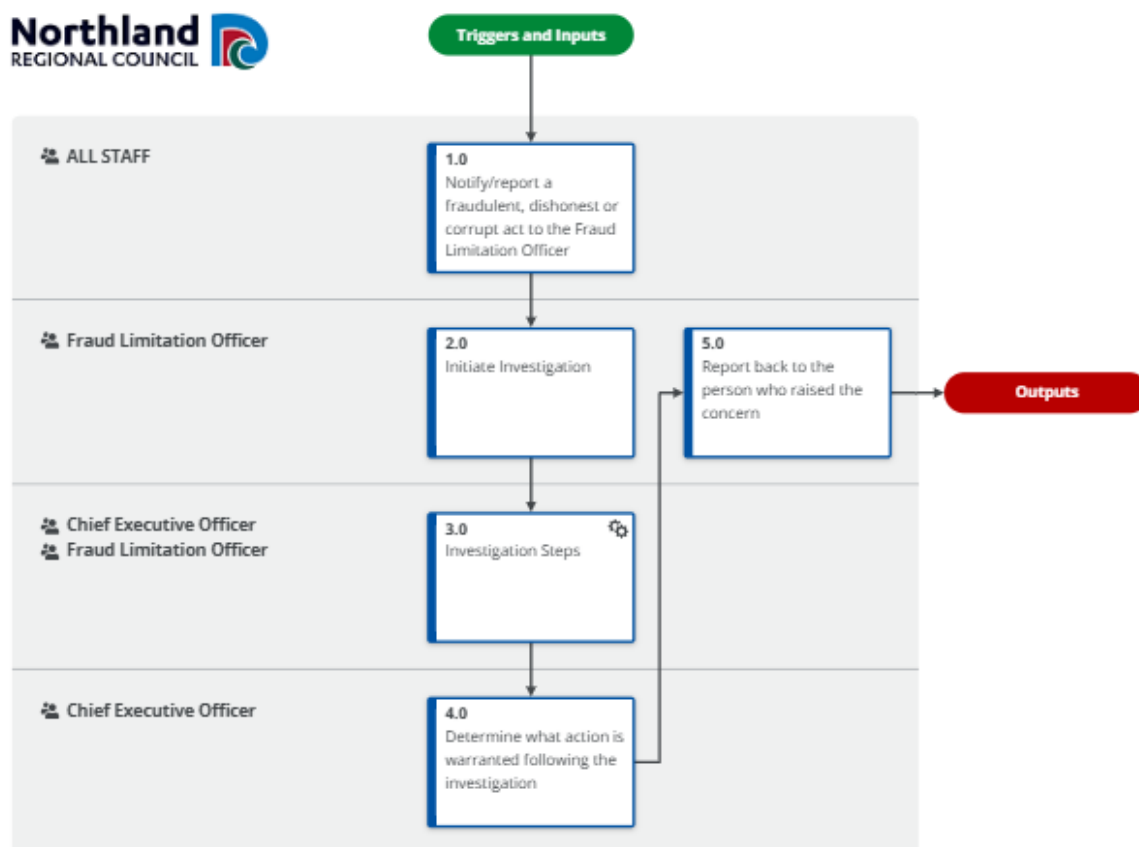
Definition:

Fraud: - Is an intentional and dishonest act involving deception or misrepresentation, to obtain or potentially obtain, an advantage for themselves or any other person/organisation.

Dishonesty: - An act (or omission) undertaken without the belief that there is consent or authority for doing the act (or omission).

Corruption: - Refers to a person in authority exploiting their position for personal gain (e.g., receive gifts or other benefits to perform part of an official function, or omitting to perform an official duty resulting in personal gain for another).

A policy provides an outline to staff when reporting dishonest behaviour to the Fraud Limitations Officer (FLO). The procedure below outlines the steps taken.



This report provides several summaries:

- Chart below provides a quick view of the 12 Fraud, Corruption and Dishonesty Risks **Inherent/Residual** controls in **Table 1**.
- Fraud Corruption and Dishonesty Risk Register including treatments/controls in place as identified in **Table 2**.
- Risk Management Matrix Evaluation Tool (ranking) Inherent/Residual **Table 3**.

Table 1. Report showing all Fraud Corruption and Dishonesty Risks scores (Inherent/Residual)

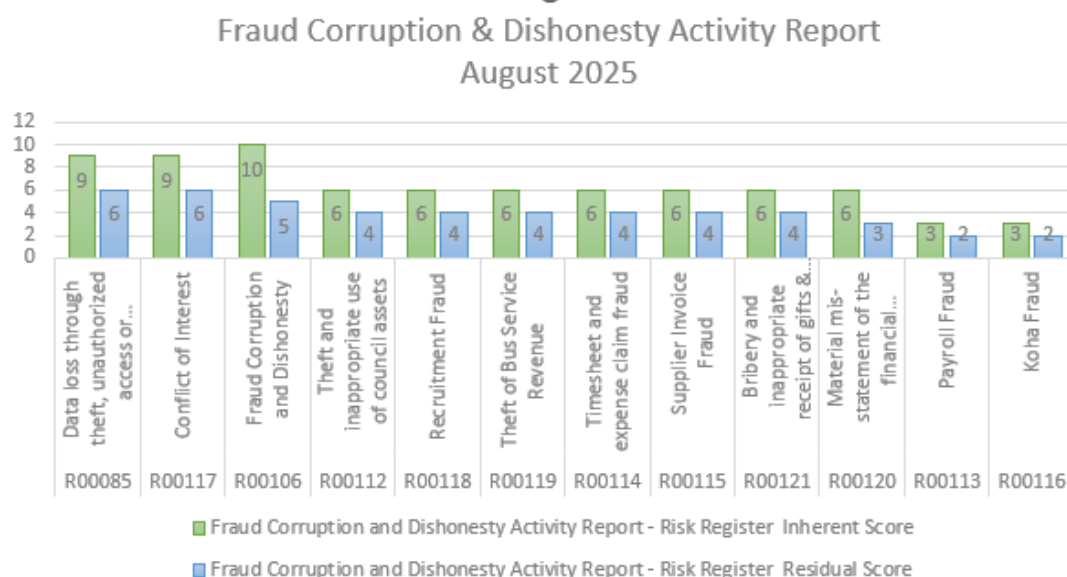


Table 2. Fraud Corruption and Dishonesty Risk Register current rating and controls.

Risks below are identified in the risk register as ongoing risks with controls in place to manage and monitor any breach in behaviours. All risks on this register have been reported including a brief overview of the controls in place for each. Risk ratings are adjusted when a regular review identifies and requires a policy or process change be made to remove or limit chances of fraudulent, corrupt or dishonest behaviours.

Inherent Rating		Extreme	High	Moderate	Low
Residual Rating		Extreme	High	Moderate	Low
#		Risk Statement		Inherent Rating	Residual Rating
1: R00085		Data Loss through theft, unauthorized access, or distribution		9	6
Controls		Processes and policies in place, automatic PC screen lock, staff education, laptops for offsite work with security controls			
2: R00112		Theft and inappropriate use of council assets		6	4
Controls		Vehicle inventory checks (current & sold), regular stock takes, lockable storage, disposal of assets by public auction, restricted and monitored access to building, user reporting (internet, cell phones) Treasury management policy monthly performance reporting, BP fuel reporting			
3: R00117		Conflict of Interest		9	6
Controls		Staff annual declaration of interests, tendering process requires disclosure, segregation of duties, minutes of meetings declaration of any conflict of interest, employment application forms include questions.			
4: R00115		Supplier Invoice Fraud		6	4
Controls		Delegation limits and authorisation process in place, ducal signatory procedure to release payments, segregation of duties in payment process, monthly actual vs budget reporting and review			
5: R00121		Bribery and inappropriate receipt of gifts & secondary employment		6	4
Controls		Gift register reported to independent member of Audit & Risk subcommittee, Annual declaration of conflict of interest performed and report to CEO, external audit, employment contract in place for all staff, application form asks if they continue with any other employment and if there is any conflicts of interest before commencing with employment			
6: R00106		Fraud Corruption and Dishonesty		10	5
Controls		Raise awareness through policy, provide fraud dishonesty and corruption training to staff including positions susceptible to such activities eg. ELT, DLT, managers, business supports and staff, Misstatement of financial statements, maintain appropriate levels of insurance, investigate and implement any internal/external audit recommendations promptly, Policy and Procedures for reporting and investigating any items reported, Policy and			

	Procedure regular review (e.g. Fraud, Dishonesty and corruption policy, Protected Disclosures Policy, Code of Conduct Policy)		
7: R00118	Recruitment Fraud	6	4
Controls	Police check for all new employees, checking qualification documents, completed application questionnaires are checked, psychometric testing where requested, credit checks perform on new employees where appropriate (e.g. finance)		
8: R00119	Theft of Bus Service Revenue	6	4
Controls	Monthly reconciliations, restricted access, Internal audits		
9: R00114	Timesheet and expense claim fraud	6	4
Controls	Monthly budget monitoring, authorisation process and workflow, police checks obtained where necessary, timesheets, sickness, other leave signed off by manager, internal audit where appropriate		
10: R00120	Material misstatement of the financial statements	6	3
Controls	Internal/ external audits, segregation of duties and sign off processes		
11: R00113	Payroll Fraud	3	2
Controls	Monthly budget monitoring, payment run audit reports reviewed and verified separately from payroll function, payment run variance report (compare previous to current and verify differences), segregation of duties payroll processing and authorisation process, data matching processes over bank account details, CAAT are performed annually, Review by HR/ELT of staff on payroll		
12: R00116	Koha Fraud	3	2
Controls	Approval, receipting and confirmation process in place and reviewed and updated regularly		

Table 3. Risk Management Matrix Evaluation Tool (to explain the ranking) Inherent/ Residual

Likelihood	Consequence				
	Inconsequential (1)	Minor (2)	Moderate (3)	Major (4)	Severe (5)
Frequent (5)	5 Moderate	10 High	15 Extreme	20 Extreme	25 Extreme
Often (4)	4 Low	8 High	12 High	16 Extreme	20 Extreme
Likely (3)	3 Low	6 Moderate	9 High	12 High	15 Extreme
Possible (2)	2 Low	4 Low	6 Moderate	8 High	10 High
Rare (1)	1 Low	2 Low	3 Low	4 Low	5 Moderate

Fraud Limitations Officer updates:

No fraudulent behaviours have been reported in this quarter.

Attachments/Ngā tapirihanga

Attachment 1: Risk Dashboard - Fraud Dishonesty and Corruption Risk Register  

Risk Matrix Dashboard - Power BI

1/1

TITLE: **Quarterly report for Health and Safety activity with end of financial year summary data 2024-25**

From: Tamsin Sutherland, Health and Safety Advisor and Shane Cleary, People and Culture Manager

Authorised by Bruce Howse, Pou Taumatua – Group Manager Corporate Services, on 12
Group Manager/s: August 2025

Whakarāpopototanga / Executive summary

This report is to inform the Committee of activity in Health and Safety for the last quarter of the 2024-2025 financial year and provide summary data for emerging trends.

An end of financial year report was provided to the Council meeting in July, to avoid repetition, this report provides a summary of:

- A continued improvement in the trend of reporting incidents and near misses and learning opportunities taken from these reports.
 - A comparison between lead and lag indicator performance in 2024-2025 compared to previous years.
 - Summary of recommendations of two health and safety audits completed in the last quarter of 2025.
 - The annual stress survey summary information is provided.
-

Ngā mahi tūtohutia / Recommendation

That the report 'Quarterly report for Health and Safety activity with end of financial year summary data 2024-25' by Tamsin Sutherland, Health and Safety Advisor and Shane Cleary, People and Culture Manager and dated 24 July 2025, be received.

Background/Tuhinga

1. Engagement in Health and Safety

We continue to see evidence of improving engagement in Health and Safety across council. Two recent vacancies in the Health and Safety Committee were filled during the nomination phase. Health and Safety Committee now includes staff from the Kaitia offices, and Representatives also include staff from Dargaville and Waipapa offices.

In terms of reporting, the use of near miss reports is a prime opportunity to learn how things can improve, without anyone receiving harm. Historically near miss reporting was very limited. A shift towards a 'learning' culture of no-blame, and improving access to simple reporting forms have provided a positive trend in near miss reporting in the past two years.

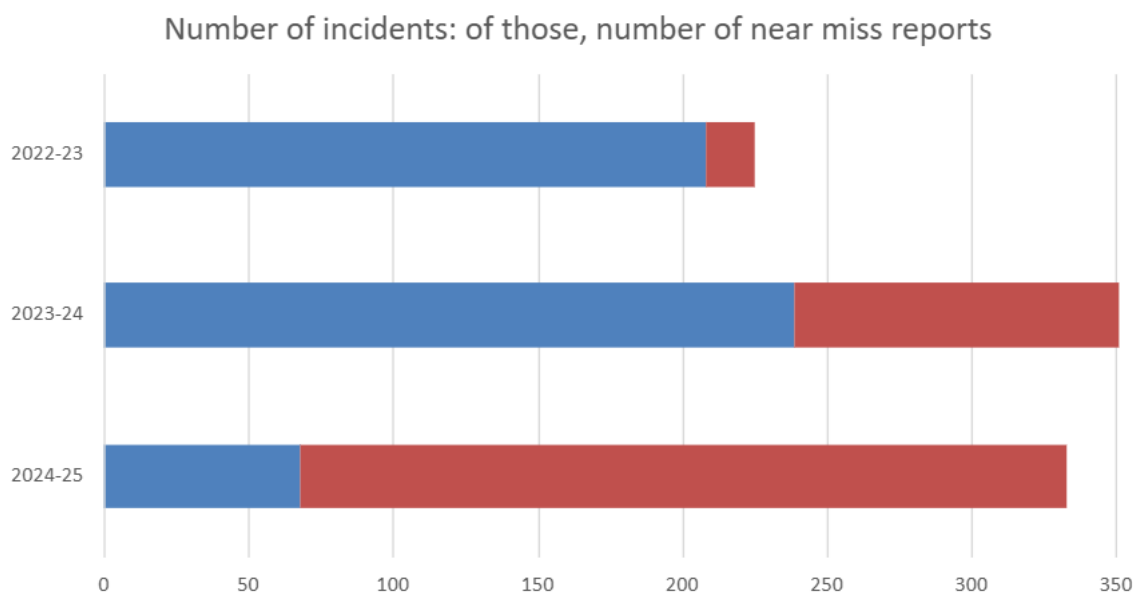


Figure 1: Comparison of incident reports for past 3 years, showing increasing trend in reporting of all incidents and an increasing proportion of near misses – the red shows the proportion of the total reports for the year which were ‘near misses’

Note - The data in Figure 1 for 2024-25 include speeding incidents only for July to October 2024, they were excluded from the new reporting system. In previous data these incidents received through ERoad vehicle monitoring were reported as ‘hazard incidents’ and accounted for 145 incident reports in 2022/23, 88 incidents in 2023/24 and 17 incidents in 2024/25. A small decrease in the total number of incident reports in 2024-25 is evident compared to the 2023-24 year, but in real terms still represents an increase in incidents/near misses reported by staff.

Health and safety workload

As part of the Health and Safety Strategy (adopted November 2024) an improved range of lead-indicators was adopted. These are tracked by quarter in Table 1 below. The comparison for these and previous years is show in Table 2. Not all data was available for previous years.

Note – the vaccination programme does not include the seasonal influenza vaccine which is offered to staff. Uptake this year has been promoted as ‘recommended’ for field staff in readiness for the possible arrival of highly pathogenic avian influenza (bird flu). The flu vaccination does not offer any protection against bird flu, but health outcomes are poorer if the two viruses are contracted simultaneously.

Auditing of Health and Safety Performance

There have been two external audits of the health and safety performance in the 2024-25 financial year. The first was conducted by Duncan Cotterill in April 2025. Duncan Cotterill are a legal firm who focused exclusively on the legal compliance of the documented system and attitudes to health and safety. Contractor management has been raised as an area for focus in previous audits. This was included in the scope of this audit.

Lead Indicators reporting		Period			
No	Lead Indicator	Jul-Sept 24	Oct-Dec 24	Jan - Mar 25	Apr - Jun 25
1	Number of new tasks with risk assessment completed				2
2	% of high risk tasks reviewed in past 12 months	Lone worker Helicopters Firearms	Hazardous substances Firearms Working with volunteers Working with contractors	Hazardous substances lone working driving Diving Work in road corridor	Lone working helicopters work in road corridor hazardous substances
3	% of planned workplace inspections completed	None planned	80%	100%	100%
4	No of health and safety training completions in this quarter	99	131	126	155
5	No of health and safety audits undertaken (not inc contractor audits)	1	7	9	10
6	% of H&S inductions completed in first 2 days	100%	97%	86%	100%
7	Health monitoring vaccine programmes completed YTD				102
8	Health monitoring programmes completed YTD				121
Lag indicators					
9	Audit/investigation corrective actions identified	25	38	32	39
10	Number of speed events	7	5	13	26
11	Number of vehicle events	2	21	15	16
12	Number of contractor accident/incident reports	5	5	3	3
13	Incident investigations by month	55	90	79	96
14	Incident investigations by year to date				333
15	% of recommendations from workplace inspections/risk assessment implemented within timeframe				Safety champion will track actions moving forward

Table 1: Lead and lag indicator reporting for 2024-2025 financial year

Lead Indicators reporting		Period		
No	Lead Indicator	2022-23	2023-24	2024-25
1	Number of new tasks with risk assessment completed			3
2	% of high risk tasks reviewed in 12 months			50%
3	% of planned workplace inspections completed	100%	86%	100%
4	No of health and safety training completions (external)	208	390	439
5	No of health and safety audits undertaken (not inc contractor audits)	3	11	34
6	% of H&S inductions completed in first 2 days	50%	87%	97%
7	Health monitoring vaccine programmes completed YTD	106	9	102
8	Health monitoring programmes completed YTD	41	48	143
Lag indicators				
9	Audit/investigation corrective actions identified	42	45	109
10	Number of speed events	145	88	69
11	Number of vehicle events	13	65	56
12	Number of contractor accident/incident reports	7	8	16
13	Incident investigations by year	247	351	333
14	Investigations by year 100%	100%	100%	100%
15	% of recommendations from workplace inspections/risk assessment implemented within timeframe			

Table 2: Lead and lag indicator reporting comparison across past three financial years, where data available.

The audit by Duncan Cotterill identified thirteen areas for future focus, several of these were linked. The Health and Safety Committee have prioritised these areas for implementation, linking this to the work already planned in the Health and Safety Strategy, which provides for planned improvements over three years. The overall score assigned from this audit was that council has a ‘moderately mature’ system of health and safety documentation, with areas of strength in the documentary framework, and more work required in the monitoring of “work as done”.

A second audit was undertaken in June 2025 to provide a “reality check” of work as done by Wilson H&S Consultants – this was a small scope audit with site visits accompanying to field teams on day-to-day work to determine whether the documented systems are implemented and fit for purpose. There were seven recommendations from the Wilson H&S Consulting audit as well as identifying some areas of strong health and safety performance, in particular the lone worker safety systems that are in place at Council. The overall score assigned from this audit was that Council achieved a “Conforming” score of 75%.

Positive trends identified from Lead and Lag indicators

Tracking trend data over the past 3 years shows that there has been an upward trend in health and safety delivery, as shown in Table 2.

- Vehicle speeding reports have fallen each year as stronger accountability procedures have bedded into the organisation
- The number of external health and safety training completions has increased
- Health and safety audits have increased significantly as a result of the increased resourcing to the Health and Safety Team
- Health and Safety inductions completed within the first two days has continued to improve, the small number not completed relate to casual staff
- The increase in reporting and inspections has identified an increased number of corrective actions to prevent harm
- There is a modest improvement in contractor incident reporting, but there is scope for a more significant improvement here.

Stress survey 2025 findings

In April 2025, we ran our annual stress and wellbeing survey to better understand how people are feeling at work.

This year, 57 percent of staff took part – a noticeable increase in engagement compared to previous years – five groups had a response rate over 50 percent. That tells us more people are willing to share their experiences, which is a positive step.

The results highlight some ongoing challenges around technology and workload. Although both stressors are less frequently mentioned than last year, they give us a clearer picture of where we need to focus our efforts.

Stressors	Most common	2 nd most common	3 rd most common
2025	Systems & Technology (34%)	Central Government changes (24%)	Workload (20%)
2024	Workload (42%)	Technology (41%)	Personal life (32%)

Table 3: Summary of the top ranked stressors for 2025 compared to 2024 in the NRC stress survey, completed in April annually

Positive trends:

81% of staff believe they have a good work-life balance. (87% in 2024 and 69% in 2023)

Flexi plus trial continues to help improve work-life balance and reduce stress.

Positive aspects of working at NRC:

Continuing trend of Flexi plus (75%), flexible working (60%), and flexi time (62%) being highly valued.

Team culture and commitment to the environment remain strong positives.

Attachments/Ngā tapirihanga

Nil

TITLE: **Regulatory Services Quarterly Report**

From: Colin Dall, Pou Whakaritenga - Group Manager Regulatory Services

Authorised by Colin Dall, Pou Whakaritenga - Group Manager Regulatory Services, on 12
Group Manager/s: August 2025

Whakarāpopototanga / Executive summary

This report covers the council's consent processing and compliance monitoring functions/activities for the period 1 April to 30 June 2025 – the final quarter of the 2024/2025 financial year. A separate report on formal enforcement activities is included in the Confidential section of this committee agenda.

The following is a high-level summary of our consent application processing and compliance monitoring functions/activities during the reporting period:

Consents

Metric	April 2025	May 2025	June 2025	Period Total	2024/2025 Total
Applications received ¹	37	67	35	139	438
Decisions issued ²	28	32	45	105	339
Outside timeframes	0	0	0	0	0
Hearings	0	0	0	0	3
Objections/Appeals	0	0	0	0	3

1 An application may include multiple activities which individually require a resource consent.

2 The number of decisions issued is based on the total number of activities that required a resource consent.

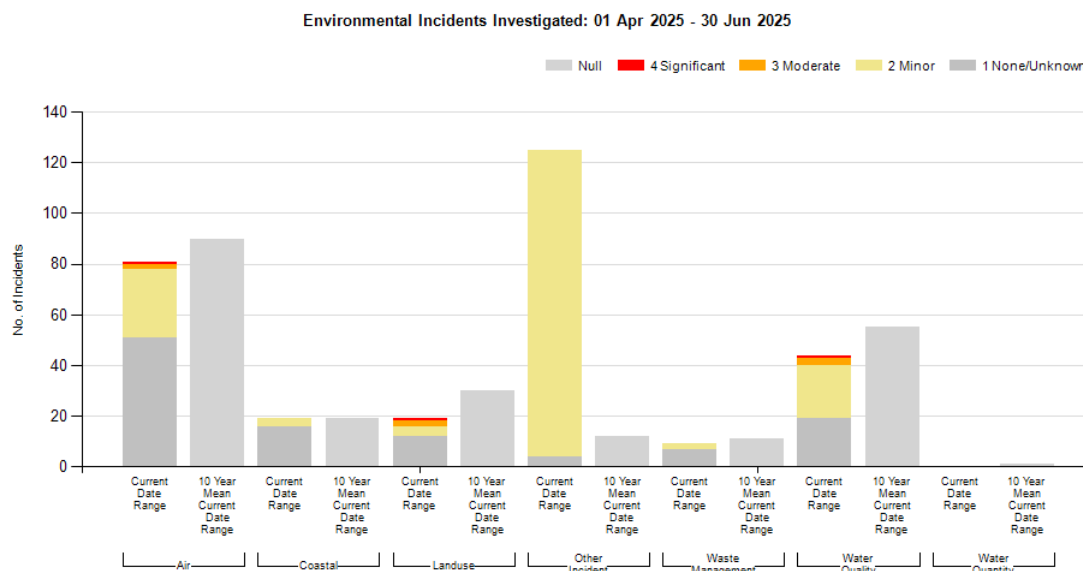
Of the applications in progress at the end of June 2025, 30 were received more than 12 months ago (one more than the previous reporting period).

Compliance Monitoring Events

Classification	Total	Full Compliance	Low Risk Non-compliance	Moderate Non-compliance	Significant Non-compliance
Air Discharge	68	65	3	0	0
Bore Consent	26	17	9	0	0
Coastal Discharge	64	51	7	6	0
Coastal Permit	257	142	67	41	7
Land Discharge	328	234	70	24	0
Land Use Consent	307	289	15	3	0
Water Discharge	194	133	22	38	1
NES-F	814	221	95	498	0
Water Permit	209	200	6	3	0
Water Take	319	244	51	24	0
Period Total	2677	1686	345	638	8
Percentage		63.0	12.9	23.8	0.3
2024/2025 Total	7189	5163	851	1103	72
Percentage		71.82	11.84	15.34	1.0

A total of 356 environmental incidents were recorded and investigated in the reporting period (130 in April, 144 in May and 82 in June).

The following graph compares the number of environment incidents investigated for each main incident category with the mean (average) number of incidents investigated for the same category and reporting period for the previous 10-year period. The colour coding for the incidents investigated in the current reporting period gives the assessed scale of adverse effects of the incident.



Ngā mahi tūtohutia / Recommendation

That the report 'Regulatory Services Quarterly Report' by Colin Dall, Pou Whakaritenga - Group Manager Regulatory Services and dated 6 August 2025, be received.

Background/Tuhinga

Compliance ratings specified in this report are those recommended by the Ministry for the Environment's "Best Practice Guidelines for Compliance, Monitoring and Enforcement under the Resource Management Act 1991" (2018):

Compliance Grade
FULL COMPLIANCE with all relevant consent conditions, plan rules, regulations, and national environmental standards.
LOW RISK NON-COMPLIANCE – Compliance with most of the relevant consent conditions, plan rules, regulations, and national environmental standards. Non-compliance carries a low risk of adverse environmental effects or is technical in nature (e.g. failure to submit a monitoring report).
MODERATE NON-COMPLIANCE – Non-compliance with some of the relevant consent conditions, plan rules, regulations, and national environmental standards, where there are some environmental consequences and/or there is a moderate risk of adverse environmental effects.
SIGNIFICANT NON-COMPLIANCE – Non-compliance with many of the relevant consent conditions, plan rules, regulations, and national environmental standards, where there are significant environmental consequences and/or a high risk of adverse environmental effects.

Table 1: Applications Received 1 April to 30 June 2025

IRIS ID	Consents Required	Received Date	Applicant	Description
APP.046485.01	1	1/04/2025	N G Whittles	Swing mooring in Te Uenga Bay mooring zone, Bay of Islands.
APP.046486.01	3	1/04/2025	Te Whatu Ora – Health New Zealand	Earthworks and associated activities for development of a staff carpark extension at 34 West End Avenue and 31 Hospital Road, Horahora.
APP.046489.01	1	1/04/2025	Mangawhai Heads Volunteer Lifeguard Service Incorporated	Repair and upgrade of a seawall and boat ramp above mean high water springs adjacent to Mangawhai Heads Volunteer Lifeguard Service Inc at 1 Wintle Street, Mangawhai Heads
APP.037013.01	1	3/04/2025	P J Rudling	Relocation of swing mooring 2663 – Orongo Bay, Bay of Islands.
APP.046492.01	3	3/04/2025	T F Hyde	Bore construction at Kaimaumau Road, Kaimaumau.
APP.046493.01	3	3/04/2025	Southend Farms Limited	Earthworks for the construction of an edge of field wetland at South Road, Waipū.
APP.010508.01	4	4/04/2025	Rosvall Sawmill Limited	To discharge contaminants to air from two boilers and three kilns.
APP.046496.01	1	4/04/2025	T Litten	Contaminated land remediation at Crane Road, Kauri.
APP.008272.01	2	8/04/2025	Moerewa School Board of Trustees	Replacement consent to discharge of treated wastewater to land for Moerewa School, Ōtīria Road, Moerewa.
APP.009413.01	1	8/04/2025	J W Greville & N D Bindon	Replacement consent to take groundwater for farm dairy supply at Mahuta Road, Dargaville. Also includes domestic and stock drinking water as a permitted activity.
APP.014523.01	1	8/04/2025	Mrs B P Gardner	Replacement consent to take water from a bore at Mangawhai Heads Road, Mangawhai.
APP.043063.01	4	8/04/2025	Dicksons Transport & Quarries Limited	Early replacement consents and expansion of a quarry at Mangakāhia Road, Titoki.
APP.045920.01	1	9/04/2025	Headland Farm Park Limited	Relodged application for erosion protection structures in coastal marine area at Manganese Point Road, Tamaterau, Whangārei.
APP.046506.01	4	9/04/2025	Mid North Farms Limited	Take water from Punakitere River and associated earthworks for two off stream reservoirs, Picadilly Road, Tautoro.
APP.046510.01	1	9/04/2025	P Yang & J Zhou	Subdivision accessway earthworks and associated activities in a flood hazard area at Ti Kouka Way, Kaiwaka.
APP.046476.01	1	10/04/2025	B Sargent	New mooring in Totara North Mooring Zone.

IRIS ID	Consents Required	Received Date	Applicant	Description
APP.046511.01	3	10/04/2025	B C Morris	Bore construction for domestic use at State Highway 12, Kaihū.
APP.046514.01	1	10/04/2025	Habitat	Earthworks and stormwater discharges in a flood hazard area at State Highway 14, Whangārei.
APP.046516.01	3	14/04/2025	Kaipara District Council	Increase the height of the banks (placing a new stopbank) around the wastewater treatment plant at Finlayson Park Avenue, Dargaville.
APP.046517.01	2	15/04/2025	G Te Rangi & S Te Rangi	Discharge domestic wastewater at Old North Road, Whakapara.
APP.046518.01	2	15/04/2025	G Te Rangi & S Te Rangi	Discharge domestic wastewater at Old North Road, Whakapara.
APP.002182.01	1	16/04/2025	Apotu Park Orchids Limited	Take water from the Mangaharuru Stream at or about location co-ordinates 1714102E 6057719N, and unnamed spring-fed tributaries of Apotu Stream for horticultural irrigation and domestic supply purposes at Apotu Road, Kauri.
APP.046525.01	2	16/04/2025	Northland Education Trust	Discharge wastewater for a school at Blue Gum Lane, Kerikeri.
APP.013906.01	1	17/04/2025	Mr C W Matich	Replacement consent for expired jetty berth at Fishermans Bay, Rūāwai.
APP.046515.01	2	17/04/2025	TKKM O Ngaringaomatariki School Board of Trustees	Discharge treated wastewater to land at TKKM Ngaringaomatariki School, Ōruawharo School Road, Ōruawharo.
APP.046526.01	4	17/04/2025	Tiopira Taniera Hapu Trust	Earthworks and wastewater discharge for papakāinga style development at Hooks and Hall Road, Waimamaku.
APP.002724.01	2	22/04/2025	Ota Point Effluent Society Incorporated	To discharge treated domestic wastewater to Waitapu Bay, Whangaroa Harbour associated with a community wastewater treatment and disposal system at Ota Point.
APP.036910.01	1	22/04/2025	Soltysik-Freeman Family Trust & S G Soltysik-Freeman & R B Freeman	Replacement consent to take water from a bore for horticultural irrigation at Whalers Road, Pukenui.
APP.026611.01	1	24/04/2025	The Alligator Pear Limited	Replacement consent to take groundwater from the Aupōuri aquifer from bores at or about location co-ordinates 1611191E 6144690N and 1611234E 6144803N, at Burnage Road, Kaitiāia.

IRIS ID	Consents Required	Received Date	Applicant	Description
APP.004069.01	1	28/04/2025	Mahoe Farm 2009 Limited	Replacement consent to discharge farm dairy effluent at State Highway 10, Oromāhoe (FDE 917).
APP.004606.01	1	28/04/2025	A D Jull & L E Hersee-Jull	FDE 939 – Discharge farm dairy effluent at Ngapipito Road, Tuhipa.
APP.046529.01	1	28/04/2025	Summit Forests New Zealand Limited	Replantation of Red Zoned land at Te Hiku Forest.
APP.046532.01	1	28/04/2025	Northland Regional Council	Stormwater drainage upgrade works at Kingi Road, Ōtiria.
APP.046535.01	3	28/04/2025	Hukerenui School Board of Trustees	Bore construction for domestic use at Crows Nest Road, Hukerenui.
APP.046537.01	3	28/04/2025	Tauraroa Area School Board of Trustees	Bore construction for domestic use and community supply at Omana Road, Maungakaramaea.
APP.046540.01	3	28/04/2025	Whangaroa College Board of Trustees	Bore construction for domestic use and community supply at SH10, Kāeo.
APP.002310.01	1	30/04/2025	Cooper Grove Limited	Replacement consent to take groundwater for horticultural irrigation at Whatitiri Road, Maungatāpere.
APP.003968.01	1	1/05/2025	Mrs H A Inglis	Replacement consent to take groundwater for irrigation at Awanui.
APP.037477.01	1	1/05/2025	BOC Limited	Replacement consents for the operation of a carbon capture and utilisation (carbon dioxide recovery) plant at Mair Road, Marsden Point. Now used for carbon dioxide storage.
APP.003841.01	1	5/05/2025	K H & R A H Cottle	Replacement consent to take groundwater for irrigation at Burnage Road, Pukenui.
APP.046554.01	3	5/05/2025	Bentzen Farm Limited	Earthworks and vegetation clearance to construct additional boat sheds and to extend the hard protection structure at Manawaora Road, Russell.
APP.012472.01	1	6/05/2025	Ongare Trust & G M McLarnon & I G McLarnon (Estate) & O H Dunning	Replacement consent to take groundwater for irrigation at Whalers Road, Pukenui.
APP.046558.01	2	6/05/2025	Mr L B Shine	Jetty structure in the coastal marine area in Waiparera Stream adjacent to 226 Kaimaumau Road, Waiharara, Awanui.
APP.007298.04	1	7/05/2025	Mt Pokaka Timber Products Limited	Section 127 change to location for discharging steamer condensate to land at State Highway 10, Kerikeri.
APP.046559.01	3	7/05/2025	P J Vink	Bore construction at Te Kokoru Place, Ngunguru.

IRIS ID	Consents Required	Received Date	Applicant	Description
APP.045358.01	1	8/05/2025	Tirita Cherrington Whanau Trust & N Cherrington-Riley	Section 127 change to discharge secondary treated wastewater to land for a papakāinga at State Highway 1, Waiōmio.
APP.046562.01	3	8/05/2025	Oruaiti School Board of Trustees	Earthworks for the upgrade of Oruaiti School, State Highway 10, Mangonui.
APP.046567.01	1	8/05/2025	H M Bassick	Diversion of stormwater during earthworks for subdivision development works at Mokohinau Drive, Mangawhai.
APP.008391.01	1	9/05/2025	Elbury Holdings Limited	Replacement consent to take groundwater for and avocado orchard irrigation at Sweetwater Road, Awanui.
APP.028959.01	1	9/05/2025	Kahiwi-roa Farms Limited	New resource consent for discharge to land from a feed silage pad also Section 127 change to discharge farm dairy effluent at Parakao for FDE 3333 at Mangakāhia Road, Parakao.
APP.035694.01	1	12/05/2025	K J Park	Replacement consent for swing mooring 3444 in Whiorau Bay, Bay of Islands.
APP.037274.01	1	12/05/2025	N R St George	To take water from a bore for the purpose of horticultural irrigation, Whaler's Road, Houhora.
APP.046579.01	1	13/05/2025	Waima Topu B Trust	Upgrade a culvert in the wetland at State Highway 12, Waimā.
APP.046240.01	4	14/05/2025	Northland Regional Council	Flood protection earthworks at Mangamuka Marae, Iwitaia Road/State Highway 10, Mangamuka.
APP.007735.01	1	15/05/2025	H & L Avocados Limited	To take groundwater from a bore in the catchment of Ariawa Stream Lot 1 DP 439919 Blk XV Houhora East SD for irrigation of horticultural crops on that property.
APP.020727.02	1	15/05/2025	H & L Avocados Limited	To take groundwater from a bore in the catchment of Ariawa Stream on Lot 2 439919 Blk XV Houhora East SD for irrigation of horticultural crops on that property.
APP.046582.01	1	15/05/2025	KSL Limited	To take water from a bore for the purposes of horticultural irrigation on that property and Lot 1 DP 344086 (for proposed orchard at Turk Valley Road, Houhora).

IRIS ID	Consents Required	Received Date	Applicant	Description
APP.046590.01	3	16/05/2025	Tararua Wind Power Limited	Earthworks and associated activities for transmission lines for Kaiwaikawe Wind Farm at Dargaville.
APP.046595.01	3	16/05/2025	Fletcher Concrete & Infrastructure Limited – Winstone Aggregates	Works are to remedy a non-compliance with larger ongoing over burden placement consent at Otaika Quarry, Quarry Road, Whangārei.
APP.037292.01	1	19/05/2025	B J Fullam	Replacement consent to take water from a bore for the purpose of horticultural irrigation at Elingamite Drive, Pukenui.
APP.046596.01	2	19/05/2025	J M Parker Trust & J M Parker	Discharge from a silage storage area near a waterway at Inch Road Arapohue.
APP.046599.01	1	20/05/2025	Fletcher Concrete & Infrastructure Limited	Discharge to air for a concrete manufacturing plant at Moir Point Road, Mangawhai.
APP.046600.01	3	20/05/2025	Whangarei District Council – Parks	Slip stabilisation works at Quarry Gardens Reserve, Russell Road, Whangārei.
APP.002898.01	1	21/05/2025	NNP Limited	Replacement consent to take groundwater for irrigation at Kaka Street, Ahipara.
APP.004213.01	1	21/05/2025	Doubtless Bay Water Supply Company Limited	Replacement consent to take groundwater at Wrathall Road, Mangonui.
APP.046603.01	1	22/05/2025	Sand Hills Farm Limited	Works near a wetland for an accessway at Rako Drive, Mangawhai.
APP.046605.01	2	22/05/2025	Te Kura o Waikare – Board of Trustees	Discharge to land at Waikare Valley Road, Waikare.
APP.046608.01	1	22/05/2025	Channel Infrastructure NZ Limited	Three monitoring bores at Ralph Trimmer Drive, Marsden Point.
APP.046609.01	1	22/05/2025	Kaiwaka Can Incorporated Society	Construction of a new floating pontoon for public use in the bed of Pukekaroro Stream, Kaiwaka.
APP.046631.01	2	22/05/2025	Mr T Marusich	Domestic discharge to land at Matapouri Road, Wooleys Bay.
APP.003798.01	1	23/05/2025	C A Hermosilla Madrid & N G Rouse	To take water from a bore in the catchment of Rangaunu Harbour.
APP.046604.01	3	23/05/2025	Kurawai Limited	Bore construction for domestic and industrial/commercial use at Kaiwaka-Mangawahi Road, Hakaru.
APP.004051.01	1	26/05/2025	Seeka Limited	Replacement consent to discharge washwater to land from fruit processing at Waipapa Road, Kerikeri.

IRIS ID	Consents Required	Received Date	Applicant	Description
APP.009808.01	1	26/05/2025	Far North Avos Limited	Replacement consent to take groundwater for irrigation at Hukatere Road, Pukenui.
APP.024340.01	5	26/05/2025	Wolf 2008 Limited	Site development works – Port Marsden Service Centre.
APP.028511.01	1	26/05/2025	Far North Avos Limited	Replacement consent to take groundwater for irrigation at Hukatere Road, Pukenui.
APP.046610.01	2	26/05/2025	Whangarei District Council – Waste & Drainage	Dredging sludge from existing wastewater treatment ponds onsite dewatering of the sludge using geobags with polymer dosing, temporary storage of the dewatered material, and management of leachate by returning it to the existing oxidation ponds at Ruakākā Wastewater Treatment Plant.
APP.046615.01	1	26/05/2025	New Zealand Pacific Oyster Farms Limited	Discharge treated wash-water to the Waikare Inlet from an oyster washing facility (barge) in Man O War Creek, Waikare Inlet, Bay of Islands.
APP.046632.01	2	27/05/2025	Te Kura o Omanaia School Board of Trustees	Discharge wastewater at Te Kura o Omanaia School, School Road, Ōmanaia.
APP.003372.01	1	28/05/2025	Hayward Family Trust	Groundwater take for irrigation of horticultural crops Hukatere Road Pukenui.
APP.004350.01	1	28/05/2025	A J & J M Hayward	Replacement consent to take groundwater for irrigation at Imms Road, Waipapakauri.
APP.007203.02	1	28/05/2025	Far North District Council	Whatuwhiwhi Wastewater Treatment Plant, Karikari Peninsula.
APP.008647.01	1	28/05/2025	Avokaha Limited	Take water from a bore for the purposes of horticultural irrigation on that property at Turk Valley Road, Houhora.
APP.046636.01	3	28/05/2025	A Alexander & E Smyth	Bore construction at Windsor Way, Mangawhai.
APP.002890.01	1	29/05/2025	Rising Star Orchards Limited	Replacement consent to take groundwater for irrigation at Far North Road (State Highway 1), Northern Aupōuri.
APP.003527.01	1	29/05/2025	Trebcombe Limited	Groundwater take for irrigation at Hukatere Road.
APP.003726.01	1	29/05/2025	PT (Booster Investments) Nominees Limited	Replacement consent to take groundwater for irrigation of horticultural crops at Hukatere Road, Pukenui.
APP.003888.01	1	29/05/2025	Trebcado Limited	Groundwater take for irrigation of horticultural crops.

IRIS ID	Consents Required	Received Date	Applicant	Description
APP.003964.01	1	29/05/2025	M Noho	Take from bores for irrigation at Motutangi.
APP.004373.01	1	29/05/2025	Fonterra Limited	Multiple applications for renewal of discharges to land, water and air consents, plus water take consent for operation of the Kauri Dairy Factory, Kauri.
APP.007503.01	1	29/05/2025	Far North District Council	To discharge residual leachate from landfill areas to ground and to discharge stormwater at a rate of up to 290 litres per second (based on a 1 in 5 year return rainfall of 65 minutes duration) to the Papahawaki Stream from Kaikohe Landfill.
APP.008340.01	1	29/05/2025	Rising Sun Orchards Limited	Replacement consent to take water from a bore for the purpose of horticultural irrigation Far North Road, Motutangi.
APP.016914.02	1	29/05/2025	Rising East Orchards Limited	Replacement consent to take groundwater at Norton Road, Waiharara, Awanui.
APP.037421.01	2	29/05/2025	C M Gill	To discharge secondary treated wastewater to land at Rockell Road, Moureeses Bay.
APP.046635.01	1	29/05/2025	Greensmith Farming Limited	Retrospective consent for access track works in the Kaihū River flood plain, Frith Road, Mamaranui.
APP.046649.01	3	29/05/2025	Paihia School Board of Trustees	Earthworks for a carpark redevelopment at School Road, Paihia.
APP.004237.01	1	30/05/2025	Far North District Council	Discharge from Tauranga Bay Motor Camp.
APP.008177.01	1	30/05/2025	G M Clark	Replacement consent to take groundwater for irrigation at Imms Road, Awanui.
APP.008589.01	1	30/05/2025	Manu Forti Developments Limited	Groundwater take for irrigation at Imms Road, Awanui.
APP.008605.01	1	30/05/2025	Trebcombe Limited	Groundwater take from two bores for irrigation, Hukatere Road.
APP.020726.02	1	30/05/2025	E J Williams	Replacement consent to take groundwater for irrigation of horticulture crops at Hukatere Road.
APP.046457.01	1	30/05/2025	Whangarei District Council – Roading	Resealing Waikaukau causeway in coastal marine area between Parutahi and Ohawini Bay, north of Ōākura.
APP.046664.01	1	30/05/2025	A M Madsen	Discharge domestic wastewater at Oromāhoe Road, Ōpua.

IRIS ID	Consents Required	Received Date	Applicant	Description
APP.046667.01	1	30/05/2025	Weigh Northland Limited	Discharges associated with a truck wash at Allis Bloy Place, Ruakākā.
APP.043955.01	3	3/06/2025	Meridian Energy Limited	Earthworks at the corner of Rama Road and State Highway 15A Ruakākā.
APP.046675.01	3	3/06/2025	Whangarei District Council – Parks	Earthworks within a flood hazard zone to upgrade sports field drainage at Morningside Road, Whangārei.
APP.046687.01	3	3/06/2025	Marine Park Developments Limited	Earthworks at Port Road, Whangārei.
APP.046678.01	1	5/06/2025	M & M Dairies Limited	Discharge farm dairy effluent at Matauri Bay Road, Kāeo.
APP.046679.01	1	5/06/2025	Lawson Acres Limited	Discharge farm dairy effluent at Ladore Road, Ōkaihau.
APP.003933.01	1	6/06/2025	C R & A J Haman	Replace expired consent to discharge farm dairy effluent at Waikaramu Road, Waimate North (FDE # 895).
APP.004354.01	1	6/06/2025	C R & A J Haman	Replacement for expired consent for discharge of farm dairy effluent at Waikaramu Road, Waimate North (FDE # 896).
APP.046680.01	3	6/06/2025	KABB Property Limited	Earthworks to develop a vehicle maintenance facility at 18-20 Kahikatearoa Lane, Waipapa.
APP.046685.01	3	6/06/2025	Waipapa Investment Trust	Earthworks in a floodzone at Kahikatearoa Lane, Waipapa.
APP.008908.01	1	9/06/2025	Doubtless Bay Water Supply Company Limited	Replacement consent to take groundwater at Protea Drive, Cable Bay.
APP.046686.01	1	9/06/2025	Ngawhitu Limited	Discharge to water at Ngawhitu Road, Moerewa.
APP.006136.05	1	10/06/2025	Kaipara District Council	Alter and extend structures (jetty and boat ramp) and hard protection structure at Whau Point, Tinopai.
APP.037271.01	1	10/06/2025	R MacDonald	Replacement consent for swing mooring 2640 in Orokawa Bay, Bay of Islands.
APP.037272.01	1	10/06/2025	R MacDonald	Replacement consent for swing mooring 2650 in Orokawa Bay, Bay of Islands.
APP.046623.01	1	10/06/2025	J Edwards	Four pile boat lift structure in the coastal marine area at Tapu Point, Okiato, Bay of Islands.

IRIS ID	Consents Required	Received Date	Applicant	Description
APP.046626.01	1	10/06/2025	R MacDonald	Dinghy pulls associated with properties at Orokawa Bay, Bay of Islands.
APP.008483.01	1	11/06/2025	Waipapa Pine Limited	Discharge contaminants to land at a timber treatment facility at Union East Street, and Bougainville Street, Port Whangārei.
APP.046693.01	1	11/06/2025	New Zealand Transport Agency	Culvert installation and removal during slip repairs at State Highway 1, Mangamuka Gorge, Mangamuka – WSB60069734.
APP.046694.01	1	11/06/2025	New Zealand Transport Agency	Slip remediation works including earthworks and vegetation clearance within a natural wetland at State Highway 1, Mangamuka Gorge, Mangamuka – WSB60069734.
APP.046616.01	1	14/06/2025	Whangarei District Council – Parks	Hard protection structure at Ngunguru Road, Ngunguru.
APP.034723.01	1	16/06/2025	M P North	Swing mooring 2370 – Little Munro Bay, Whangārei Harbour.
APP.046697.01	1	16/06/2025	T Smith	Existing slipway and new dredging and associated structures to establish a marina in Tutukaka Harbour.
APP.046711.01	1	16/06/2025	Mike & Shirley Edean Marsden Charitable Trust	Wastewater discharge for Marsden Estate at Wiroa Road, Kerikeri.
APP.046699.01	1	17/06/2025	Takou Trust Ahu Whenua Trust	Site development for a papakāinga at Te Rāwhiti Road, Takou Bay.
APP.041523.01	1	19/06/2025	D Wilson	Replacement consent for non MM4 swing mooring 4000 in Mangaiti Bay, Whananaki.
APP.043077.01	1	19/06/2025	Apotu Enterprises Limited	Replacement consent to take water from the Mangaharuru Stream and unnamed spring-fed tributaries of the Mangaharuru Stream for horticultural irrigation and domestic supply purposes at Apotu Road, Kauri.
APP.046668.01	1	24/06/2025	A-A Misescu	Kayak boat ramp in the coastal marine area adjacent to Waitapu Creek Road, Kāeo.
APP.046725.01	1	24/06/2025	Keri Green Limited	Discharges associated with compost operations at Te Ahu Ahu Road, Oromāhoe, Haruru.
APP.046726.01	1	24/06/2025	Far North District Council	Site development works for a new water storage tank at Whale Crescent and Tokerau Beach Road, Tokerau Beach.
APP.007256.01	1	25/06/2025	Koropewa Farm Limited	FDE 494 – Discharge farm dairy effluent at Waiare Road, Puketī.

IRIS ID	Consents Required	Received Date	Applicant	Description
APP.042789.01	1	25/06/2025	AINZ Fund 12 Limited	New resource consent for additional earthworks and Section 127 change of Condition 2 of AUT.042789.01-03.01 for cut and fill earthworks for development of a subdivision at Molesworth Drive, Mangawhai.
APP.046730.01	1	25/06/2025	Whangarei District Council – Roothing	Earthworks and stockpile disposal at Port Road, Whangārei.
APP.046735.01	1	25/06/2025	Kaipara District Council	Land fill discharges to land at Kaiwaka-Mangawhai Road, Hakeru, Kaiwaka.
APP.005087.01	1	30/06/2025	Kaipara District Council	Discharge wastewater to an unnamed tributary of the Pahi River after treatment within a two stage septic tank, up-flow gravel filters and constructed wetland.
APP.037132.01	1	30/06/2025	T Yeates	To place, use and occupy the seabed with a jetty, gangway, pontoon, and berthing piles within an unnamed bay in Waikare Inlet.

Table 2: Consents Decisions Issued 1 April to 30 June 2025

IRIS ID	Consents Required	Description	Issue Date
AUT.035711.02.01	1	Eady – Relocation of swing mooring 3458 to Oruruhoa Bay, Bay of Islands	2/04/2025
AUT.046412.01.01	4	Fulton Hogan Ltd – Works near a wetland at State Highway 1 Kaimaumau-Motutangi wetland (NES-FW)	4/04/2025
AUT.046416.01.01	2	Tactic Dairies Limited – Discharge of leachate from silage pads at Apotu Road, Hikurangi	4/04/2025
AUT.034789.01.02	1	Richmond P – Swing mooring 2460 – Munro Bay, Whangārei Harbour	7/04/2025
AUT.046425.01.01	2	Tomchin-Brown – Discharge domestic wastewater at Umurangi Way, Tutukaka	7/04/2025
AUT.046435.01.01	2	Barry Craike Family Trust – Discharge wastewater at State Highway 12, Ōmāpere	7/04/2025
AUT.046400.01.01	2	Far North District Council – Stormwater culvert outlet at Ōmāpere Beach	8/04/2025
AUT.046446.01.01	3	Brunker – Bore construction for domestic use at Te Koruru Place, Ngunguru	9/04/2025
AUT.046451.01.01	3	Taituha and Martin-Kawiti – Bore construction at Old Bay Road, Pākaraka	9/04/2025
AUT.046426.01.01	3	Far North District Council – Water supply intake at Wairoro Stream, Kaikohe	10/04/2025
AUT.046444.01.01	3	Vezey – Earthworks for building site development at 15 Puketutu Drive, Haruru	10/04/2025
AUT.007415.01.04	1	McGiven – Surface take at Whatitiri Road, Whatitiri	11/04/2025
AUT.046408.01.01	3	Moir Point Park Developments Limited – Subdivision works off Estuary Drive and Grove Road, Mangawhai	11/04/2025
AUT.046411.01.01	2	Otamatea Marae Trustees – Discharge wastewater to land for a marae at Tanoa Road, Maungaturoto	11/04/2025
AUT.046450.01.01	3	Houhora Golf Club Inc – Bore construction at Far North Road, Pukenui	11/04/2025

IRIS ID	Consents Required	Description	Issue Date
AUT.046403.01.01	6	Yates – Hard protection structures in the coastal marine area in Te Uenga Bay	14/04/2025
AUT.046454.01.01	3	Far North Housing Limited – Earthworks for site development at South Road, Kaitiāia	16/04/2025
AUT.045989.01.01	2	Projects HB Limited – Discharge to land from a lifestyle village at Peter Snell Road, Ruakākā	17/04/2025
AUT.046458.01.01	3	Collinson – Bore construction at Te Kokoru Place, Ngunguru	17/04/2025
AUT.037799.01.02	2	Clegg – Discharge treated domestic wastewater at Kukupa Place, Matapōuri	22/04/2025
AUT.007467.01.04	1	Simpson – Take groundwater for irrigation at Whatitiri Road, Porotī	24/04/2025
AUT.042070.01.02	1	Te Waka Pupuri Putea Trust – Dairy 1 Discharge for silage making at Ahipara	24/04/2025
AUT.046438.01.01	7	Lees Investment Trustee Limited – Earthworks in a flood zone at Cove Road, Mangawhai	28/04/2025
AUT.045193.01.02	3	Main Road Properties Limited – Earthworks for commercial site development at State Highway 12, Maungaturoto	29/04/2025
AUT.046452.01.01	5	Rodney Residential Limited – Earthworks for a building platform at Lincoln Street, Mangawhai	29/04/2025
AUT.046492.01.01	3	Hyde – Bore construction at Kaimaumau Road, Kaimaumau	29/04/2025
AUT.005682.01.05	4	Tangaroa Research Institute – The Sugar Boat structure in the coastal marine area, Waitangi Bridge, Paihia	30/04/2025
AUT.046475.01.01	1	North Sawn Lumber Limited – Air discharge for a sawmill at Factory Lane, Moerewa	30/04/2025
AUT.046485.01.01	1	Whittles – Swing mooring in Te Uenga Bay mooring zone, Bay of Islands	1/05/2025
AUT.004550.01.04	2	Hukerenui School Board of Trustees – Discharge wastewater from the school to Waipuakakahau Stream at Hukerenui	5/05/2025
AUT.043705.01.02	1	Northland Regional Council – Discharge stormwater to site paddocks at Fertilizer Road, Port Whangārei	5/05/2025
AUT.046329.01.01	4	Ngatiwai Ki Whangaruru Whenua Topu Trust – Structures in the coastal marine area – marae based aquaculture at Bland Bay	6/05/2025
AUT.046463.01.01	4	Otetao Reti Marae – Structures in the coastal marine area for marae based aquaculture – Kauri Bay, Whangaruru Harbour	6/05/2025
AUT.046464.01.01	4	Kaingahoa Marae – Structures in the coastal marine area for marae based aquaculture in Whapukapirau Bay	6/05/2025
AUT.046553.01.01	1	Rudling – Swing mooring in the coastal marine area in Uruti Bay, Bay of Islands	6/05/2025
AUT.046511.01.01	3	Morris – Bore construction for domestic use at State Highway 12, Kaihū	7/05/2025
AUT.046477.01.01	3	KiwiRail Holdings Limited – Earthworks for rail line repair at Bridge 136, North Auckland Line, Kaipara	8/05/2025
AUT.045423.01.02	2	Ngati Kuri Trust Board – Discharge wastewater for a papakāinga at Far North Road, Ngataki	9/05/2025
AUT.045424.06.02	2	Ngati Kuri Trust Board – Discharge wastewater for a papakāinga at Te Paki Stream Road, Te Paki (Te Paki Dunes)	9/05/2025
AUT.046486.01.01	6	Te Whatu Ora – Health New Zealand – Reclamation in a wetland for carpark extension at Whangārei Hospital (NES-FW)	12/05/2025
AUT.006795.01.04	4	Vuglar – Extraction for a quarry at Riponui Road, Riponui	14/05/2025
AUT.009413.01.03	1	Greville and Bindon – Groundwater take for a dairy farm at Mahuta Road, Dargaville	14/05/2025
AUT.046476.01.01	1	Sargent – New mooring in the Totara North Mooring Zone	15/05/2025
AUT.035689.01.03	1	Park – Swing mooring – Whiorau Bay, Bay of Islands	16/05/2025
AUT.046061.01.02	7	Mangawhai Hills Limited – Earthworks for a subdivision development at Cove Road, Mangawhai (NES-FW)	16/05/2025
AUT.010934.07.06	5	Far North Holdings Limited – Piles and finger pier structures in the coastal marine area at Ōpua wharf, Bay of Islands	20/05/2025
AUT.046516.01.01	6	Kaipara District Council – Clear vegetation near Awakino River for works at a Wastewater Treatment Plant at Finlayson Park, Dargaville	20/05/2025

IRIS ID	Consents Required	Description	Issue Date
AUT.014345.01.02	4	Reids Ruawai Transport Limited – Extract rock and move overburden at a quarry at Whitcombe Road, Rūāwai	21/05/2025
AUT.046537.01.01	3	Tauraroa Area School BOARD OF T – Bore construction at Tauraroa Area School, Omana Road, Maungakaramea	21/05/2025
AUT.026611.01.03	1	The Alligator Pear Limited – Groundwater take from bores at Burnage Road, Kaitiā	22/05/2025
AUT.046535.01.01	3	Hukerenui School Board of Trustees – Bore construction for domestic use at Crows Nest Road, Hukerenui	22/05/2025
AUT.046540.01.01	3	Whangaroa College Board of Trustees – Bore construction for domestic use at State Highway 10, Kāeo	22/05/2025
AUT.046467.01.01	2	Wai Shing Holdings – Harvesting in an Orange zone at Koremoa Road, Te Kopuru – NES CF	23/05/2025
AUT.046489.01.01	2	Mangawhai Heads Volunteer Lifeguard Service Inc – Hard protection structures at Wintle Street, Mangawhai	26/05/2025
AUT.046517.01.01	2	Te Rangi – Discharge domestic wastewater to land at Old North Road, Whakapara	26/05/2025
AUT.046518.01.01	2	Te Rangi – Discharge domestic wastewater to land at Old North Road, Whakapara	26/05/2025
AUT.046221.01.01	7	Northland Regional Council – Disturb Waiwhao Stream for flood protection works at Wainui Road, Kāeo	27/05/2025
AUT.046529.01.01	1	Summit Forests NZ Limited – Replantation of Red Zoned land at Te Hiku Forest (NES-CF)	27/05/2025
AUT.046526.01.01	5	Tiopira Taniera Hapu Trust – Discharge wastewater for a papakāinga at Hooks and Hall Road, Waimamaku	29/05/2025
AUT.046146.01.01	3	Poroti School Board of Trustees – Earthworks for flood remediation at Poroti School	30/05/2025
AUT.003841.01.03	1	Cottle – Groundwater take for irrigation at Burnage Road, Pukenui	3/06/2025
AUT.046558.01.01	2	Shine – Unauthorised jetty structure in the coastal marine area in Waiparera Stream, Waiharara	3/06/2025
AUT.002310.01.05	1	Cooper Grove Limited – Groundwater take for horticultural irrigation at Whatitiri Road, Maungatāpere	4/06/2025
AUT.046409.01.01	3	Xing Eternity Trust & Newby – Boat ramp in coastal marine area at Motukaiwaiti Island	4/06/2025
AUT.046559.01.01	3	Vink – bore construction at Te Kokoru Place, Ngunguru	4/06/2025
AUT.046224.01.01	7	Kauri Ruakaka Limited – Construction of a solar farm at Port Marsden Highway, Ruakākā	6/06/2025
AUT.046515.01.01	2	Te Kura Kaupapa Maori o Ngaringaomatariki School Board of Trustees – Discharge wastewater at Oruawharo School Road, Oruawharo	6/06/2025
AUT.046220.01.01	5	Northland Regional Council – Earthworks for flood protection at Wainui Valley Road, Kāeo	9/06/2025
AUT.046453.01.01	1	Southend Farms Limited – FDE 1802 – Surface water take for dairy shed use adjacent to Mclean Road, Waipū	9/06/2025
AUT.046134.01.01	6	Whangarei District Council – Earthworks in a wetland to construct a roundabout at Springs Flat, Whangārei	10/06/2025
AUT.046554.01.01	6	Bentzen Farm Limited – Earthworks for site development at Manawaora Road, Parekura Bay	10/06/2025
AUT.046590.01.01	4	Tararua Wind Power Limited – Earthworks near a wetland for transmission line work – Kaiwaikawe Wind Farm	11/06/2025
AUT.035694.01.02	1	Park – Swing mooring in the coastal marine area at Whiorau Bay, Bay of Islands	12/06/2025
AUT.043063.01.02	6	Dickson's Transport & Quarries Limited – Earthworks for a quarry at Mangakāhia Road, Titoki	12/06/2025
AUT.046240.01.02	9	Northland Regional Council – Flood protection works at Mangamuka Marae	13/06/2025
AUT.007735.01.05	1	H & L Avocados Limited – Groundwater take at Hukatere Road, Raio	16/06/2025
AUT.008391.01.03	1	Elbury Holdings Limited – Groundwater take for avocado orchard irrigation, Sweetwater Road, Awanui	16/06/2025

IRIS ID	Consents Required	Description	Issue Date
AUT.012472.01.02	1	Ongare Trust – Groundwater take for irrigation at Whalers Road, Pukenui	16/06/2025
AUT.020727.02.03	1	H & L Avocados Limited – Groundwater take at Hukatere Road	16/06/2025
AUT.046599.01.01	1	Fletcher Concrete & Infrastructure Limited t/a Firth Industries – Air discharge at Mangawhai site	16/06/2025
AUT.008272.01.03	2	Moerewa School Board of Trustees – Discharge of treated wastewater to land from Ōtiria School, Moerewa	17/06/2025
AUT.045358.01.02	1	Tirita Cherrington Whanau Trust – Discharge wastewater for a papakāinga at State Highway 1, Waiōmio	17/06/2025
AUT.046600.01.01	6	Whangarei District Council – Slip stabilisation earthworks – Quarry Gardens Reserve, Russell Road, Whangārei	18/06/2025
AUT.046636.01.01	3	Alexander and Smyth – Bore construction at Windsor Way, Mangawhai	18/06/2025
AUT.046395.01.01	6	Jennings Family Trust – Use the coastal marine area for structures at Point Veronica, Ōpua, Bay of Islands	19/06/2025
AUT.046525.01.01	2	Northland Education Trust – Discharge wastewater for a school at Blue Gum Lane, Kerikeri	19/06/2025
AUT.046562.01.01	3	Oruaiti School Board of Trustees – Earthworks for carpark upgrade for Oruaiti School at State Highway 10, Mangonui	23/06/2025
AUT.002724.01.05	2	Ota Point Effluent Society Inc – Discharge wastewater to the coastal marine area for Ota Point community wastewater system	24/06/2025
AUT.036910.01.03	1	Soltysik-Freeman Fam Trust – Take water for irrigation at Whalers Road, Pukenui	24/06/2025
AUT.046493.01.01	6	Southend Farms Limited – Construction of an edge of field wetland at South Road, Waipū	24/06/2025
AUT.046615.01.01	1	NZ Pacific Oyster Farms Limited – Discharge treated wash-water to the Waikare Inlet	24/06/2025
AUT.003798.01.05	1	Rouse and Hermosilla Madrid – Groundwater take for irrigation Far North Road, Waipapakauri	25/06/2025
AUT.011294.01.03	2	Mangawhai Heads Management Limited – Discharge to land for a communal wastewater system at Wintle Street, Mangawhai	25/06/2025
AUT.024340.01.03	12	Wolf 2008 Limited – Site development works at Port Marsden Service Centre	25/06/2025
AUT.037421.01.03	2	Gill – Discharge domestic wastewater at Rockell Road, Moureeses Bay	25/06/2025
AUT.046595.01.01	3	Winstone Aggregates – Remove unauthorised works at a quarry, Quarry Road, Raumanga	25/06/2025
AUT.046605.01.01	2	Te Kura o Waikare Board of Trustees – Discharge wastewater to land for a school at Waikare Valley Road, Waikare	25/06/2025
AUT.046610.01.01	3	Whangarei District Council – Desludging of oxidation ponds at Ruakākā Wastewater Treatment Plant	25/06/2025
AUT.046632.01.01	2	Te Kura o Omanaia School Board of Trustees – Wastewater discharge at Te Kura o Omanaia School, School Road, Ōmanaia	26/06/2025
AUT.046685.01.01	3	Waipapa Investment Limited – Earthworks in a floodzone at Kahikatearoa Lane, Waipapa	26/06/2025
AUT.046596.01.01	2	JM Parker Trust – Discharge from a silage pad near a waterway at Inch Road, Arapohue	27/06/2025
AUT.046603.01.01	1	Sand Hills – Works for accessway at Rako Drive, Mangawhai (NES-FW)	27/06/2025
AUT.046604.01.01	3	Kurawai Limited – Bore construction for domestic and commercial use at Kaiwaka-Mangawahi Road, Hakaru	27/06/2025
AUT.046675.01.01	3	Whangarei District Council – Earthworks for sports field drainage at Morningside Road, Whangārei	27/06/2025
AUT.046609.01.01	1	Kaiwaka Can Incorporated – New pontoon in the bed of Pukekaroro Stream, Kaiwaka	30/06/2025

Table 3: Applications more than 12 months old on 30 June 2025

IRIS ID	Applicant	Description	Received Date	Comments
APP.004835	Far North District Council	Kerikeri urban area stormwater discharges	4/10/2011	The applicant has provided an addendum updating the application documents. Awaiting NRC to complete the Kerikeri Flood Modelling before proceeding with notification of the application.
APP.037501	Hare Patau Hohepa Tepania	Marine farm at Southern end of 90 Mile Beach (Te Oneroa-a-Tōhē)	3/03/2015	Section 37 extension requested by the applicant to allow discussions with Te Hiku about activities on Te Oneroa-a-Tōhē.
APP.038180	Whangarei District Council	Comprehensive stormwater network consent for Upper Whangarei Harbour catchments	25/02/2016	Section 37 extension requested by the applicant. Addendum updating the application has been received. The applicant is identifying industrial and trade premises within its network prior to the council proceeding with notification of the application.
APP.038601	North Western Mussels Limited	Mussel spat catching farm off the West Coast of 90 Mile Beach (Te Oneroa-a-Tōhē)	15/07/2016	Section 37 extension requested by the applicant. The council has asked the applicant whether it wishes to withdraw the application as no further progress has been made.
APP.005059	Golden Bay Cement	Stormwater discharges to CMA from a cement manufacturing site, Portland	9/11/2018	Section 128 review. Review of conditions now completed. The council to issue the decision by the end of October 2025.
APP.004204	Fonterra Limited	Replacement consent to discharge process wastewater from the Maungaturoto dairy factory into the Ōtamatea River estuary	16/03/2021	The council is in discussions with the applicant regarding conditions. A decision is likely to be made prior to the end of September 2025.
APP.000932	Far North District Council	Replacement consents for the Kaitiāia wastewater treatment plant at Bonnetts Road, Kaitiāia	24/08/2021	The two heard submitters on this application have now withdrawn their wish to be heard. The council is in discussions with the applicant regarding conditions. Delegated decision on the application likely to be made prior to the end of September 2025.

IRIS ID	Applicant	Description	Received Date	Comments
APP.030602	Far North District Council	Replacement consent for sludge storage facility at Kaitāia - jointly processed with WWTP application	24/08/2021	As above for APP.000932.
APP.002417	Far North District Council	Renewal of consents for the Kaikohe WWTP	27/08/2021	Application was publicly notified with two heard submissions received. The applicant is providing additional information by 15 August 2025 to address issues raised by both the council and submitters. Discussions will then be held with the submitters to attempt to resolve their concerns and avoid a hearing.
APP.043384	G S Jacobsen	Retrospective consent for a retaining wall and mooring, and proposed new boardwalk, 118 Waterfront Road, Pukenui	20/01/2022	Section 92 hold for further information. The applicant is now proposing to remove structures and withdraw this application. The council to confirm withdrawal of the application once structures have been removed.
APP.043888	Carrington Estate Jade LP	Earthworks for residential subdivision at Matai Bay Road and Whatuwhiwhi Road	30/05/2022	Application publicly notified jointly with FNDC. One submission (wishing to be heard) was received by both councils. The council has requested the applicant to provide confirmation on whether it wishes to proceed with the application prior to organising a joint hearing. NRC is the lead agency.
APP.007205	Far North District Council	Replacement consents for discharges associated with operation of the Kāeo WWTP	27/07/2022	The applicant has provided a CIA and an addendum to the application providing additional information. The council is now determining notification of the application.
APP.007399	Far North District Council	To discharge treated wastewater to Hihi Stream, Hihi Beach WWTP	31/08/2022	Section 37 extension requested by the applicant to allow discussions with tangata whenua. The council has advised the applicant that it will be publicly notifying the application prior to the end of November 2025.

IRIS ID	Applicant	Description	Received Date	Comments
APP.044441	Shoresite Properties Limited	Bulk earthworks for subdivision at Sun Valley Drive, Morningside, Whangarei	5/10/2022	Section 37 extension requested by the applicant to allow for discussions with tangata whenua.
APP.044619	Kiripaka Holdings Limited	Earthworks for an 84 lot development at Kiripaka Road, Whangarei	24/11/2022	Section 37 extension agreed to by the applicant. The applicant has advised that it is awaiting a geotechnical report for subdivision before progressing any further.
APP.044727	Quail Ridge Country Club Limited	Proposed pedestrian bridge across Kerikeri River	24/01/2023	Section 92 hold for information on flooding effects. Joint application with FNDC. The applicant has requested public notification and FNDC will be the lead agency. No confirmation yet on when the application will be notified.
APP.044932	Explore Limited	Jetty facility in the coastal marine area at Omakiwi Cove, Bay of Islands	18/04/2023	On Section 92 hold awaiting further information. The applicant has provided an initial response which was not adequate. The applicant is considering whether to provide further information or publicly notify the application.
APP.002773	Imerys Ceramics New Zealand Limited	Renewal of consents for quarrying and processing halloycite and kaolin clay, Takou Bay	19/04/2023	The council and applicant are in discussions regarding the conditions of consent. Further details are required regarding the sediment removal process before conditions can be finalised.
APP.002577	Far North District Council	Replacement consents for Rāwene wastewater treatment plant	28/04/2023	Section 37 extension to processing timeframes requested by the applicant to allow it to discuss the application with tāngata whenua and interested groups. The council has advised that the application will be notified before the end of November 2025.
APP.044965	Vaco Investments (Waipū Project) Limited	Subdivision works at 47 Millbrook Road, Waipū	01/05/2023	Application “returned” to the applicant.
APP.045010	Te Uri Mahoe Inc	Onsite wastewater disposal system for Mangamuka Marae at Iwitaia Road, Mangamuka	05/05/2023	Application “returned” to the applicant.

IRIS ID	Applicant	Description	Received Date	Comments
APP.019502	Far North District Council	Discharges from Kaitāia Resource Recovery Centre	31/05/2023	Section 37 extension to processing timeframes requested by the applicant to allow it to discuss the application with tāngata whenua.
APP.045333	Pattle Delamore Partners Limited	Discharges associated with a waste transfer station at 22 Church Street, Kaitāia	29/08/2023	Section 37 extension to processing timeframes requested by the applicant to allow it to discuss the application with tāngata whenua.
APP.045332	Pattle Delamore Partners Limited	Discharges from existing waste transfer station at 13-27 Paranui Road, Taipā	29/08/2023	Decision to grant consent served on 23 July 2025.
APP.045492	VMC Trust	New swing mooring in Cooks Cove, Motuarohia Island, Bay of Islands	31/10/2023	Section 37 extension to allow application provided amended application. No amendment received to date. The council has advised the applicant that public notification of the application is likely.
APP.008339	Far North District Council	To discharge treated wastewater, including Russell Landfill leachate, to ground via disposal boreholes	19/12/2023	Section 37 extension to processing timeframes requested by the applicant to provide an update on the investigation into the performance of the disposal system. The applicant has advised that investigation is still ongoing.
APP.045648	Whangarei District Council	Discharge to Ahuroa River from Ahuroa WTP at Waipū	20/12/2023	On Section 92 hold awaiting further information.
APP.045827	IDF Development Limited	Jetty and associated activities in the coastal marine area adjacent to 282 Rangihoua Road, Purerua Peninsula, Te Puna Inlet, Bay of Islands	02/04/2024	On Section 92 hold awaiting further information on ecological effects.
APP.045820	Northland Regional Council	Benching of land and install new stop banks to maximise floodwater passage under new State Highway 10 bridge at Kāeo	04/04/2024	Section 37 extension to processing timeframes requested by the applicant to allow for response from tāngata whenua.
APP.045927	C R Cashmore	Non MM4 swing mooring in Mukimuki Bay, Bay of Islands	17/06/2024	On Section 92 hold awaiting further information on ecological and cultural effects.

Attachments/Ngā tapirihanga

Nil

TITLE: **Municipal Wastewater Treatment Plant Discharge Compliance**

From: Colin Dall, Pou Whakaritenga - Group Manager Regulatory Services

Authorised by Colin Dall, Pou Whakaritenga - Group Manager Regulatory Services, on 12
Group Manager/s: August 2025

Executive summary/Whakarāpopototanga

CE and GM Regulatory Services to provide copies of letters sent to district councils to the next Audit and Risk Committee and also consider how NRC could draw some of those matters to a close or hearing.

A copy of the last letters sent to Northland's district councils regarding the status of their 3 Waters infrastructure is attached.

There are several options for addressing long standing matters of resource consent non-compliance and consent applications that have been expired for more than 12 months, which are discussed in the Options and Background/Tuhinga sections of this report.

Recommendation(s)

1. That the report 'Municipal Wastewater Treatment Plant Discharge Compliance' by Colin Dall, Pou Whakaritenga - Group Manager Regulatory Services and dated 6 August 2025, be received.
 2. That the committee endorses Option 3 of this report, with regular reporting to this committee.
-

Options

No.	Option	Advantages	Disadvantages
1	Status quo.	No additional resources required.	Progress on addressing consent compliance and consent processing matters continues to be slower than desirable.
2	Status quo + increased 'low level' enforcement action (more abatement and/or infringements notices) for consent non-compliance and less leeway for delays in consent processing.	Greater pressure on districts councils to comply with their consents; reduced time periods when wastewater treatment plants/ discharges are operating under expired consents.	Additional resources required for increased enforcement action; less leeway in consent processing could have perverse outcomes – less tangata whenua and community engagement and less resolution of their concerns; more hearings/increased costs; more appeals/increased costs.
3	Status quo + increased 'high level' enforcement action (more	Greater pressure on districts councils to comply with their	Additional resources required for increased enforcement action,

	enforcement orders and/or prosecutions) for consent non-compliance where there is no good reason for implementing remedial action and less leeway for delays in consent processing.	consents; reduced time periods when wastewater treatment plants/ discharges are operating under expired consents.	particularly litigation costs; less leeway in consent processing could have perverse outcomes – less tangata whenua and community engagement and less resolution of their concerns; more hearings/increased costs; more appeals/increased costs.
4	NRC providing new/alternative municipal wastewater infrastructure.	May result in reduced adverse environmental effects after construction/ commissioning.	NRC is not mandated to provide such infrastructure and if it did have the mandate, it currently lacks in-house capacity to perform this activity. Long, protracted process to follow which is likely to be politically untenable to district councils. Community opposition (increased rates, equity issues). Significant additional resourcing – takes resources away from BAU activities and other activities that the NRC is mandated to undertake. Conflicts with NRC's regulatory role.

The staff's recommended option is Option 3. NRC taking more high-level enforcement action, particularly seeking and obtaining enforcement orders from the Environment Court (which are dealt with more expediently by the Court than prosecutions), is more likely to result in district councils taking quicker/more urgent action to address consent non-compliance compared with the other options.

Considerations

1. Climate Impact

All options have neutral climate change impacts.

2. Environmental Impact

All options have beneficial environment impact through improving consent compliance. Options 2 and 3 may result in quicker improvements/reductions in adverse environmental effects resulting from consent non-compliance.

3. Community views

The community has varying views on the effectiveness of wastewater infrastructure and resource consent compliance. Members of the community and representatives of

community groups often make submissions on consent applications for new municipal wastewater schemes and replacement consent applications for existing municipal wastewater schemes. Although most submitters typically support improvements in wastewater scheme performance and consent compliance, the affordability of those improvements is often a concern.

4. Māori impact statement

Tangata whenua often have strong views on the effectiveness of wastewater infrastructure and resource consent compliance, particularly in their rohe. They often make submissions on consent applications for new municipal wastewater schemes and replacement consent applications for existing municipal wastewater schemes. They are typically opposed to discharges of wastewater to water, which they consider culturally offensive. Therefore, they are likely to support increased efforts in improving wastewater treatment plant (WWTP) discharge consent compliance.

5. Financial implications

There are no financial implications in maintaining the status quo regarding the NRC's regulation of municipal WWTP consents. Option 2 could be implemented with little or no financial implication through reprioritisation of existing staff resources and work programmes. Option 3 would result in increased costs, particularly regarding litigation of higher-level enforcement action – both enforcement orders and prosecutions require legal support and can be “resource hungry”. Option 4 would have significant financial implications – provision of new high-performing municipal WWTP infrastructure is costly.

6. Implementation issues

There are no implementation issues in maintaining the status quo regarding the NRC's regulation of municipal WWTP consents. Options 2 and 3 could be implemented through reprioritisation of existing staff resources and work programmes. Option 4 would result in substantial additional work/resources and is likely to be challenging “to get across the line” and potentially have little or no community support.

7. Significance and engagement

- Options 1, 2 and 3 are considered to be of low significance when assessed against council's significance and engagement policy because those options have been consulted on and provided for in council's Long-Term Plan and/or are part of council's day to day activities. This does not mean that those options are not of significance to tangata whenua and/or individual communities, but that council is able to select either of those options without undertaking further consultation or engagement.
- Option 4 is considered to be of high significance when assessed against council's significance and engagement policy as this option would require council to begin a new activity. Therefore, that option can only be decided via the special consultative procedure, and warrants full consideration of all practicable options and their costs and benefits.

8. Policy, risk management and legislative compliance

there are many other considerations that would need to be assessed if NRC was to pursue this option
Background/Tuhinga section of this report.
Background/Tuhinga

There are 30 municipal wastewater treatment plants (WWTPs) in Northland – 16 in the Far North District, 9 in Whangarei District and 5 in the Kaipara District. Historically, resource consent compliance for the discharges from those treatment plants has been variable, although typically consent non-compliance has been worse with respect to the WWTPs in the Far North District, followed by those in the Kaipara District and then the Whangarei District. This is reflected by the amount of formal enforcement action that the Northland Regional Council (NRC) has taken in relation to the WWTPs in recent years.

As well as this formal enforcement, NRC staff engage regularly with the district council staff with responsibility for managing and operating those WWTPs regarding consent compliance issues, including sending letters to each of the CEOs of the district councils providing an update on the compliance status of the 3 Waters infrastructure that their respective district council owns and operates, and outline NRC's expectations regarding district councils non-compliance. The most recent update letters were sent to the district council CEOs in late May and early June 2025 (see attachments).

These letters also summarise the replacement discharge consent application for the WWTPs that are operating under expired consents. The time it has taken to process replacement consent applications for WWTP discharges has also been variable, with some applications taking several years to process. Although some of the delay in processing these applications has been to allow for community and tangata whenua engagement and the provision of additional information, such delays could have been avoided or reduced by the respective district councils with better forward planning and increased resource being put into preparing those applications. The NRC has been forewarning the district councils of the consents they hold for WWTP discharges and other 3 Waters infrastructure that are due to expire in the near future and reiterates the need to better plan and resource the replacement of those consents. This is done through face-to-face engagement between council staff, letters to the district council CEOs and submissions on district council annual plans and LTPs.

Despite the enforcement action and regular engagement between relevant council staff, there are ongoing and/or longstanding consent non-compliance issues with some WWTP discharges. NRC has become increasingly frustrated with the slow progress in rectifying these non-compliance issues and delays in the processing of replacement consents for WWTP discharges.

CE and GM Regulatory Services to provide copies of letters sent to district councils to the next Audit and Risk Committee and also consider how NRC could draw some of those matters to a close or hearing.

Staff have subsequently considered how to draw some of the matters to a close or to a hearing. This could be done through increased enforcement action, engagement regarding specific issues and giving less leeway to allowing delays in consent processing activities. It is noted there may be an opportunity to increase efforts further through engaging with the recently formed Northland Local Water Done Well Working Group. NRC staff will explore this opportunity.

Staff have also considered the suggestion made at the the Resource Management Act 1991 (RMA) allows for any person to make an application for a resource consent, there are many other considerations that would need to be assessed if NRC was ever to consider making such an application. The following is a snapshot of those considerations:

- Section 12 of the Local Government Act 2002 (LGA) gives wide powers to both regional councils and territorial authorities to undertake any activity or business or enter into any transaction unless the LGA, any other legislation, or general law prohibits council from undertaking that activity.
- Despite the wide powers of councils under section 12 of the LGA, the NRC has identified the activities that it undertakes in its LTP and Annual Plans. The current activities of council do not include establishing a wastewater treatment system in the Far North District.
- If council was going to fund such activities the following would need to be considered:
 - Section 12 LGA requires regional councils to exercise their powers wholly or principally for the benefit of all or a significant part of its region, and not for the benefit of a single district.
 - Section 16 LGA which provides the process for significant new activities proposed by regional councils. The Triennial Agreement between councils would also need to be considered. Any new activity of a regional council which is an activity which a territorial authority in the

regional council's region is either already undertaking or has notified its intention to do so in its LTP, must go through a process with the other territorial authorities and the Minister of Local Government.

- Council's Significance and Engagement Policy.
- Part 7 LGA which sets out the responsibilities of territorial authorities to assess wastewater and other sanitary services in their districts and the ability of joint local government arrangements for the provision of water services.
- Section 17 LGA – the transfer of responsibilities between councils.
- Section 80 LGA – ability to make decisions that are inconsistent with an adopted policy or plan of Council.
- Section 97 LGA – decisions that can only be made if provided for in the LTP and specifically consulted on.
- Funding for the activity. Construction of new WWTPs for a moderate sized community is likely to be in the tens of millions of dollars.
- The responsibilities of territorial authorities under the Local Government (Water Services Preliminary Arrangements) Act 2024.
- Section 181 LGA – construction of works on private land – only provides for territorial authorities to construct works on or under private land or under a building on private land for sewage and stormwater drainage.
- LGA – specific bylaw making powers of territorial authorities to regulate wastewater and wastewater systems versus regional council specific bylaw making powers which are limited to forests, parks, reserves, recreation grounds, flood protection, flood control, water supply works and maritime activities under the Maritime Transport Act 1994.

Given the above and the disadvantages and constraints outlined in the Options and Considerations sections of this report, staff do not recommend this approach/option.

Attachments/Ngā tapirihanga

Attachment 1: FNDC 3 Waters Services May 2025 [↓](#) 

Attachment 2: KDC 3 Waters Services June 2025 [↓](#) 

Attachment 3: WDC 3 Waters Services May 2025 [↓](#) 



30 May 2025

Guy Holroyd
Chief Executive
Far North District Council
guy.holroyd@fndc.govt.nz

Tēnā koe Guy

FAR NORTH DISTRICT COUNCIL THREE WATERS INFRASTRUCTURE/SERVICES

The Northland Regional Council (NRC) has reviewed the current situation regarding the Far North District Council's (FNDC's) Three Waters services to assess what progress has been made to address issues previously raised by the NRC in its last letter regarding those services.

Wastewater Treatment Plant (WWTP) Discharge Resource Consents

At the time of NRC's last letter, there were nine discharge consents for FNDC community WWTPs that were either due for replacement within the next five years (three consents) or had expired and were in the process of being replaced (six consents). Since then:

- New (replacement) discharge consents for Kohukohu and Opononi-Omāpere have been granted. However, these were granted for three-year terms only to allow assessment of land disposal options and will expire in the next five years.
- The discharge consent for Russell WWTP has expired and the replacement consent application is currently being processed.
- The discharge consent for the Taipā WWTP is now due to expire in the next five years.
- Progress has been made on processing of the replacement consent applications but continues to be slow.

Status of current applications:

WWTP, Consent Number & Expiry Date	Application received date	Application progress	Agreed or required action
Hihi AUT.007399.01.02 Expired 30/11/2022	31/8/2022	<ul style="list-style-type: none">• Ongoing discussions with hapū to provide a CIA.	<ul style="list-style-type: none">• Application will be notified by end of November 2025 regardless of whether the CIA has been provided.
Kaeo AUT.007205.01.03 Expired 31/10/2022	27/7/2022	<ul style="list-style-type: none">• Draft conditions being finalised with tangata whenua.	<ul style="list-style-type: none">• Application will be notified prior to end of 2025.

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Kaikohe AUT.002417.01.03 Expired 30/11/2021	27/8/2021	<ul style="list-style-type: none"> Addendum to application has been provided that provides outcome of BPO assessment. 	<ul style="list-style-type: none"> Application notified in early May 2025
Kaitiāia AUT.000932.01.03 Expired 30/11/2021	24/8/2021	<ul style="list-style-type: none"> All submitters have withdrawn their wish to be heard. 	<ul style="list-style-type: none"> NRC to review draft conditions and provide comments to FNDC in June 2025 prior to decision being made on application.
Rāwene AUT.002577.01.03 Expired 31/8/2023	28/04/2023	<ul style="list-style-type: none"> FNDC requested a 2-year extension of processing timeframes to allow discussion with tangata whenua. FNDC subsequently requested, on behalf of interested parties, that application is placed on hold to allow for the development of a land disposal option. NRC declined this request but agreed to a further 6-month s37 extension to allow discussions on such a proposal to commence. 	<ul style="list-style-type: none"> Application will be notified by end of November 2025.
Russell AUT.008339.01.03 Expired 30/4/2024	19/12/2023	<ul style="list-style-type: none"> FNDC has undertaken engagement with tangata whenua and interested parties. 	<ul style="list-style-type: none"> FNDC to provide update on investigation into performance of disposal system by end of June 2025.

A key reason for the delay in the processing of the replacement consent applications has been to allow FNDC to engage with tangata whenua and local communities about the applications. Although it is appreciated that this can take time, four of the six applications were made more than two years ago. This should have been sufficient time to address issues raised in the engagement, which is why the NRC has set dates for the public notification of these applications. The slow process that has been made indicates that the FNDC has not been putting sufficient resource into re-consenting and/or did not start engagement early enough. It is important that this is rectified and that re-consenting work should commence well before individual existing resource consents expire. The following table specifies the four FNDC WWTP discharge consents that expire within the next five years, along with some key actions that are required for re-consenting:



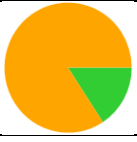




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WWTP & Consent Number	Expiry Date	Application due date	Agreed or required action
Kohukohu AUT.003839.01.03	12/1/2027	11/7/2026	Assessment of the options for avoiding, remedying and mitigating adverse effects on cultural values and the Hokianga Harbour, including a recommendation as to which discharge option is considered the best practicable option (BPO) – Consent Condition 13. Due date 1/12/2024 – memorandum received noting that more time was required to determine the BPO.
Opononi & Omāpere AUT.002667.01.04	30/11/2027	31/5/2027	Assessment of the options for avoiding, remedying and mitigating adverse effects on cultural values and the Hokianga Harbour, including a recommendation as to which discharge option is considered the best practicable option (BPO) for achieving this – Consent Condition 11. Due date 30/11/2025
Taipā AUT.004007.01.04	8/3/2029	7/9/2028	FNDC has committed to a discharge the wastewater to land in mid-November 2024. Consent Condition 12 requires that the land disposal system must be established and commissioned by 1 September 2027. During the period that the land disposal system is being established, FNDC must provide a written progress report to the Northland Regional Council's Compliance Manager every six months – first progress report due in mid-May 2025 and had not been received at the time of writing this letter. Please provide the report by 1 July 2025.
Whatuwhiwhi AUT.007205.02.02	30/11/2025	31/5/2025	Application has been lodged.




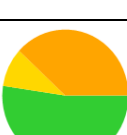
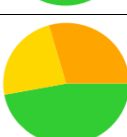

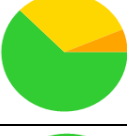
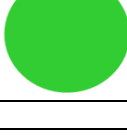
Please ensure that sufficient resource is committed to the re-consenting of these discharge consents and that engagement with tangata whenua and affected communities is started well before the existing consents expire.





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WWTP Consent Compliance (ranked from worst to best over the period 1 April 2024 to 31 March 2025)

WWTP/Consent Status	Compliance for last 12 months	Enforcement Action/Response
Ahipara Expires 2033		<u>Under ANs</u> New SCUUV unit commissioned in September 2024 and has resulted in improved consent compliance (reduced FC concentrations). Compliance is based on median FC concentrations of 12 consecutive samples and so it will take several months before compliance is achieved should the SCUUV continue to significantly reduce FC concentrations. FC results since October 2024 have been compliant.
Kohukohu Expires 2027		<u>Under AN</u> Self-monitoring not being undertaken correctly. Issue being addressed.
Opononi & Omāpere Expires 2027		<u>Under ANs</u> Issues addressed in replacement consent. Moderate non-compliances for BOD, E. coli, and TSS. Remedial actions being undertaken.
Whatuwhiwhi Expires 2025		None currently. Moderate non-compliances for TSS exceeding RC limits.
Taipā Expires 2029		None currently. Moderate non-compliances for FC exceeding 85 th percentile and TN exceeding RC limits. Remedial actions being undertaken by FNDC.
Paihia Expires 2034		<u>Under AN</u> Moderate non-compliances due to ammoniacal nitrogen exceeding RC limits for 90 th percentile. Issue being investigated.
Rāwene Expired 2023 (replacement consent being processed)		None currently. FC and TSS exceed consent limits. Remedial work scheduled.

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Kawakawa Expires 2036		None currently. Moderate non-compliance due to 90 th percentile for E Coli being exceeded in historic sample. Has been trending downwards since.
Kaitiāia Expired 2021 (replacement consent being processed)		<u>Under AN</u> (for reticulation overflows). Ongoing works on reticulation system. F-specific bacteriophage exceeds 90th percentile. Some sample results missing. F-specific bacteriophage levels are trending downwards.
Kaikohe Expired 2021 (replacement consent being processed but also listed Fast-track proposal)		<u>Under AN</u> Self-monitoring not being undertaken in accordance with consent requirements.
Russell Expired 30 April 2024 (replacement consent being processed)		<u>Under AN</u> Leachate volumes discharged to treatment plant have exceeded RC limits. Remedial options being investigated.
Hihi Expired 2022 (replacement consent being processed)		None currently. Ammoniacal nitrogen and E. coli exceeding RC limits.
Rangiputa Expires 2032		None currently. Moderate non-compliance for sampling not undertaken in accordance with RC conditions in 2024.
Kaeo Expired 2022 (replacement consent being processed)		None currently. Self-monitoring results missing for two reporting periods.
Kerikeri Expires 2036		None currently.

Compliance Status	Colour
Full compliance	
Low risk non-compliance	
Moderate non-compliance	
Significant non-compliance	

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Summary for last 12 months:

- Two out of the 15 WWTP discharges currently have significant non-compliance issues (same as last summary but one different WWTP).
- 12 out of 15 WWTP discharges recorded moderate non-compliances, with 12 discharges being moderately or significantly non-compliant more than 25% of monitoring occasions (similar to last summary).
- One WTPP discharge was fully compliant compared with none in the last summary.
- Seven WWTP discharges were subject to abatement notices compared with 4 in the last summary.

There has been little change in WTPP discharge consent compliance since the last summary, with the level of overall discharge compliance still unsatisfactory and requiring marked improvement, despite additional enforcement action being taken by the NRC.

Although both significant non-compliances are being addressed by the FNDC and are expected to be resolved shortly, the 12 WWTP discharges that have recorded moderate non-compliances on more than 25% of monitoring occasions is unsatisfactory. Some of these non-compliances should be able to be easily resolved through better operating procedures and reporting processes, e.g. self-monitoring requirements for the Kaitiāia, Kaikohe, Rangiputa and Kaeo WWTP discharges.

Please provide us with FNDC's plan (measures it is and/or will be taking) to address and reduce the moderate non-compliances recorded for its WWTPs by 1 July 2025.

The NRC sees no option left other than to take further enforcement action to address the ongoing consent non-compliances if little or no improvement is achieved in the next two months.

Water Supply Resource Consents

There are no current consent applications pertaining to the FNDC's community water supplies.

Since the NRC's last letter, there has been no change in the number of take consents for the FNDC's community water supplies that are due for replacement within the next five years. The relevant information for those consents is summarised in the following table:

WS & Consent Number	Expiry Date	Application due date	Agreed or required action
Kaikohe AUT.001862.01.04 AUT.001862.02.02 Monument Hill (groundwater) & Squires Springs	31/05/2027	30/11/2026	<ul style="list-style-type: none">• Start discussion with tangata whenua and Waikotitē Maori Reservation Trust (regarding spring take).• Start preparation of the "water management plan" that is required to be included in an application for a resource consent to take or use water for community or public water supplies by

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			Policy D.4.14 of the Proposed Regional Plan for Northland (PRP) ¹ .
Kaikohe AUT.004109.01.03 Wairoro Stream	31/05/2026	30/11/2025	<ul style="list-style-type: none"> Start discussion with tangata whenua. Start preparation of the “water management plan” that is required to be included in an application for a resource consent to take or use water for community or public water supplies by Policy D.4.14 of the PRP¹.
Opononi & Omāpere AUT.004369.01.04 Waiotemarama Stream	31/08/2026	28/2/2026	<ul style="list-style-type: none"> Start discussion with tangata whenua. Start preparation of the “water management plan” that is required to be included in an application for a resource consent to take or use water for community or public water supplies by Policy D.4.14 of the PRP¹.
Opononi & Omāpere AUT.007356.01.02 Waiarohia Stream Dam	31/08/2026	28/2/2026	<ul style="list-style-type: none"> Start discussion with tangata whenua. Start preparation of the “water management plan” that is required to be included in an application for a resource consent to take or use water for community or public water supplies by Policy D.4.14 of the PRP¹.

¹ Policy D.4.14 of the PRP:

D.4.14 Reasonable and efficient use of water – group or community water supplies

An application for a resource consent to take or use water for community or public water supplies must include a water management plan to demonstrate water use efficiency and must set out the current and likely future demand for water that addresses:

- 1) the number and nature of the properties that are to be supplied, and
- 2) how the water supplier will manage water availability during summer flow periods and drought events, and
- 3) the effectiveness and efficiency of the distribution network.

Regarding these water supplies, it is acknowledged that FNDC has improved the resilience of Kaikohe’s water supply since the 2019/2020 drought. However, should the Te Hau Ora o Ngāpuhi Limited’s 100-unit housing development at Bisset Road be approved under the Fast-track Approvals Act 2024, further work on the town’s water supply may be required.

It is also acknowledged that the resilience of the Opononi and Omāpere water supply has improved since the 2019/2020 drought with the installation and commissioning of the groundwater bore and associated water take at Smoothly Road (Resource Consent AUT.040878.01.01). However, this has not proved sufficient to avoid consent non-compliances and/or water use restrictions during periods of dry weather, particularly during holiday periods.

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Given this and potential further population growth of the townships, the NRC reiterates its concern that further work on identifying and commissioning additional water supply for the townships is required.

Regarding the FNDC's remaining community water supply consents, the NRC makes the following comments:

- It is pleasing that the long-awaited "Sweetwater" groundwater supply for Kaitāia is now operating, improving the resilience of the town's water supply.
- The Rawene and Omanaia water supply (Resource Consent AUT.002041.01.03) continues to be restricted during periods of dry weather and won't be sufficient to cater for population growth in the supply areas. Further work is required to improve the resilience of the water supply.

The efficiency and resilience of all the FNDC's water supplies can be improved by ongoing maintenance or replacement of the aging reticulation systems serving these supplies. Please ensure that the FNDC maintains, if not increases, its work to check for and repair leaks and damaged/deteriorating reticulation infrastructure serving these supplies. Please also ensure that sufficient resource is committed to the re-consenting of these water supply consents.

Stormwater Resource Consents

There are no existing urban stormwater discharge consents held by FNDC that are due for replacement within the next five years. However, processing of the replacement stormwater discharge consent for Kerikeri has progressed slowly, although the additional information for replacement application is expected to be provided within the next month. The application will be notified after receipt of that information.

The NRC understands that the consent application for the Kaitāia stormwater discharge is being prepared and is expected to be lodged in January 2026. Please ensure that there are no delays in the lodgement of this application.

Drainage District Resource Consents

The FNDC has still not applied for the resource consents required for the four drainage district schemes it administers. Stuart Savill, the NRC's Consents Manager, has recently discussed the consenting of the drainage schemes with your Team Leader – Infrastructure Consenting, Losaline Finekifolau. He has provided her with relevant information on consent requirements. It is understood from their discussion that FNDC will be commencing preparation of the required consent applications by the end of May 2025. **Please update us on the progress with these applications and tentative timeframes for lodgement by 30 June 2025.**

Clear and substantial progress on lodging the applications is required to avoid NRC taking formal enforcement action against the FNDC for the unauthorised drainage activities.

Summary

Since the NRC's last letter, there remains an unsatisfactory level of non-compliance for most of the FNDC's WWTPs, re-consenting of the expired discharge consents for those WWTPs continues

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to be slow and the required consents for the drainage schemes administered by the FNDC have not been lodged. The NRC has taken further formal enforcement action against the FNDC in response to those consent non-compliances and set deadlines to advance processing of the replacement consents applications for the WWTPs that are operating under expired consents. NRC will continue with this action until consent compliance and re-consenting progress of FNDC's expired consents improves.

Please ensure the updates and information requested in red writing are provided by the specified dates.

Ngā mihi



Jonathan Gibbard
Tāhūhū Rangapū - CEO
Northland Regional Council



06 June 2025

Jason Marris
Chief Executive
Kaipara District Council
chiefexec@kaipara.govt.nz

Tēnā koe Jason

KAIPARA DISTRICT COUNCIL THREE WATERS INFRASTRUCTURE/SERVICES

The Northland Regional Council (NRC) has reviewed the current situation regarding the Kaipara District Council's (KDC's) Three Waters services to assess what progress has been made to address issues previously raised by the NRC in its last letter regarding those services.





Wastewater Treatment Plant (WWTP) Discharge Resource Consents





At the time of NRC's last letter, there were two discharge consents for KDC community WWTPs that were due for replacement within the next five years (Kaiwaka and Glinks Gully). Since then:

- New (replacement) discharge consents for Kaiwaka and Glinks Gully have been granted.
- No consents are due for replacement in the next five years.
- The next consent for replacement is Maungaturoto in 2032.

WWTP Consent Compliance (ranked from worst to best over the period 1 May 2024 to 30 April 2025)

WWTP/Consent Status	Compliance for last 12 months	Enforcement Action/Response
Kaiwaka Expires 2049		Median and 90 th percentile exceedances for FC. Improvement in more recent sample results.
Maungaturoto Expires 2032		<u>Under AN; IN issued September 2024</u> Some RC limits exceeded, including discharge volume. Investigation underway. 2025 results fully compliant.

Dargaville Expires 2043		<u>Under ANs</u> None currently.
Te Kopuru Expires 2044		TSS exceeding 90 th percentile and rolling mean. TSS is trending down. March and April 2025 fully compliant.
Mangawhai Expires 2042		<u>Under ANs; IN issued September 2024</u> Enforcement relates to odour. No other issues currently.
Glinks Gully Expires 2034		None currently.

Compliance Status	Colour
Full compliance	
Low risk non-compliance	
Moderate non-compliance	
Significant non-compliance	

Summary for last 12 months:

- No WWTP discharges recorded significant non-compliances.
- Five out of six WWTP discharges recorded moderate non-compliances during the last 12 months. However, there has been marked improvements at Dargaville, Glinks Gully Maungaturoto and Te Kopuru WWTPs, and only one WTP (Kaiwaka) recorded moderate non-compliances more than 25% of monitoring occasions compared with three discharges in the last summary.
- One WTP discharge was fully compliant (Glinks Gully).
- Improvements are required at the Kaiwaka WWTP.
- Three WWTP discharges were subject to abatement notices compared with three in the last summary. Although this has not changed, NRC acknowledges that the KDC has put significant effort into addressing the odour issue associated with the Mangawhai WWTP.

Although there has been improvement in KDC's WWTP discharges compliance since the last summary, further work needs to be done to rectify the moderate non-compliances related the Dargaville, Kaiwaka, Mangawhai, Maungaturoto and Te Kopuru WWTPs.

Please provide us with update on what steps KDC plans to take to improve the performance/compliance of these five WWTPs by 1 July 2025.

Water Supply Resource Consents

The dry summer this year again 'highlighted' the lack of resilience of Dargaville's water supply, which has been known for many years. NRC last reiterated its concerns about this in its submission to KDC's draft LTP 2024 – 2027. Since then, NRC acknowledges the Te Tai Tokerau Water Trust has obtained Government funding of up to \$17.5M in the form of a loan to construct a 22 km pipeline connecting the Trust's recently completed reservoir near Te Kopuru, to Dargaville and the Silver Fern Farm's processing plant. NRC understands once the processing plant has been connected to the Trust's water supply scheme, this will reduce the water supply demand the processing plant has on the town's water supply scheme.

1. Council would appreciate an update on the situation, including: **the estimated increase in capacity of the town's water supply scheme that will result from the processing plant connecting to Trust's scheme, and**
2. **KDC's plan's to further improve the resilience of the town's water supply.**

NRC remains concerned about the adequacy of the Maungaturoto water supply. Council would appreciate an **update on what steps KDC has taken to improve the resilience of the town's water supply..**

Stormwater Resource Consents

The application for the resource consent required for the stormwater discharge from the Dargaville urban area has not been lodged. NRC expected this to be lodged in 2024 and considers more than enough time has lapsed for the preparation of the application. **Please explain the delay in lodging the required application and the expected lodgement date for the application by 1 July 2025.**

Clear and substantial progress on lodging the application is required to avoid NRC taking formal enforcement action against KDC for the unauthorised stormwater discharge.

Drainage District Resource Consents

The applications for the resource consents required for the drainage districts administered by KDC have not been lodged. NRC understood work was being undertaken to prepare the required applications, and it was expected these applications would have been lodged by now. **Please explain the delay in lodging the required applications and the expected lodgement date for the applications by 1 July 2025.**

Clear and substantial progress on lodging the applications is required to avoid NRC taking formal enforcement action against KDC for the unauthorised drainage activities.

Summary

There has been improvement in KDC's WWTP discharges compliance since the last summary, but five of six discharges are moderately non-compliant at times, with the Kaiwaka WWTP

discharge being the most non-compliant. Further work needs to be done to rectify the moderate non-compliances related to these discharges.

NRC's main concern is that the resource consents application required for the stormwater discharge from the Dargaville urban area and the drainage districts administered by KDC have not been lodged. Unreasonable delays in lodging the required applications are likely to result in enforcement action by NRC.

Please ensure the updates and information requested in red writing are provided by the specified dates.

Ngā mihi



Jonathan Gibbard
Tāhūhū Rangapū - CEO
Northland Regional Council



06 June 2025

Simon Weston
Chief Executive
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Tēnā koe Simon

WHĀNGĀREI DISTRICT COUNCIL THREE WATERS INFRASTRUCTURE/SERVICES

The Northland Regional Council (NRC) has reviewed the current situation regarding the Whāngārei District Council's (WDC's) Three Waters services to assess what progress has been made to address issues previously raised by NRC in its last letter regarding those services.

Wastewater Treatment Plant (WWTP) Discharge Resource Consents

At the time of NRC's last letter, there were four discharge consents for WDC community WWTPs that were either due for replacement within the next five years (three consents) or had expired and were in the process of being replaced (one consent). Since then:

- New (replacement) discharge consents for Ruakaka, Tutukaka, Portland and Whangarei City WWTPs have been granted.
- The applications required for the replacement discharge consents for the Oakura and Hikurangi WWTPs have been received and are being processed.
- The discharge consents for Waipu and Waiotira WWTPs expire in 2030.

There were also two replacement consents required for discharges from the wastewater reticulation systems serving Onerahi and Whāngārei Heads (both expire 30 September 2026) and a resource consent was required for the remainder of the Whāngārei City wastewater reticulation network discharges. NRC notes it would be sensible to incorporate into the consents for the Onerahi and Whāngārei Heads reticulation systems into consent for remaining Whāngārei City wastewater reticulation network. NRC is aware that work is being done for this consent application.














Status of current applications:

WWTP & Application Number	Application received date	Application progress	Agreed or required action
Oakura APP.012838.01.03	28/2/2025	Being processed	No action required by WDC

Hikurangi APP.007445.01.05	31/3/2025	Being processed	No action required by WDC
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WWTP Consent Compliance (ranked from worst to best over the period 1 May 2024 to 30 April 2025)

WWTP/Consent Status	Compliance for last 12 months	Enforcement Action/Response
Hikurangi Expires 2025		<u>Under AN</u> Graded significantly non-compliant in April 2025. TSS, BOD5 and E. coli results are above RC limits for median and 90 th percentile. CH to supply a programme to remediate the issue.
Ruakaka Expires 2046		Ammoniacal nitrogen level is trending down and investigations showed that no elevated levels were found in the receiving environment.
Waipū Expires 2030		None currently.
Tutukaka Expires 2054		Moderate non-compliance for elevated E. coli in February 2025. Fully compliant for March and April 2025.
Portland Expires 2054		None currently. Non-compliance for late data in 2024.
Oakura Expires 2025		None currently. Previous non-compliance for FC exceeding 95 th percentile.
Ngunguru Expires 2035		None currently. Historic result still affecting 95 th percentile for E. coli.
Whāngārei City Expires 2045		<u>Under AN</u> for odour from plant. Additional odour controls being implemented. No other issues.

Waiōtira Expires 2030		None currently.										
<table><tr><th>Compliance Status</th><th>Colour</th></tr><tr><td>Full compliance</td><td></td></tr><tr><td>Low risk non-compliance</td><td></td></tr><tr><td>Moderate non-compliance</td><td></td></tr><tr><td>Significant non-compliance</td><td></td></tr></table>			Compliance Status	Colour	Full compliance		Low risk non-compliance		Moderate non-compliance		Significant non-compliance	
Compliance Status	Colour											
Full compliance												
Low risk non-compliance												
Moderate non-compliance												
Significant non-compliance												

Summary for last 12 months:

- One WTPP discharge recorded significant non-compliances compared with none in the last summary.
- Four of the nine WTPP discharges recorded moderate non-compliances, with one discharge (Hikurangi) recording moderate non-compliances on more than 25% of monitoring occasions (same as last summary but one different WTPP).
- One WTPP discharge was fully compliant (same as last summary but different WTPP).
- Hikurangi was the worst performing WTPP (same as last summary).
- Hikurangi and Whāngārei City WTPPs discharges remained under abatement notices.

Although NRC is aware WDC has been undertaking works to improve the performance of the Hikurangi WTPP, further works are required based on the compliance results.

Water Supply Resource Consents

Since NRC's last letter, there has been no change in the number of take consents for WDC's community water supplies that are due for replacement within the next five years. The relevant information for those consents is summarised in the following table:

Resource Consent	Water supply and source	Expiry date/ Application due date	Issues/Constraints
AUT.001881.01.03	Maungakarama - groundwater	30/6/2026 31/12/2025	<ul style="list-style-type: none"> • Limited groundwater resource. • Reliability during prolonged dry weather/low rainfall.
AUT.008642.01.02	Maungakarama - groundwater	30/6/2026 31/12/2025	

NRC is not concerned about the compliance of these water take consents but notes the Maungakareme water supply, at times, has not been reliable/provided sufficient water to its users during prolonged dry weather/low rainfall. **NRC would appreciate an update on what WDC plans to do to improve the reliability/resilience of this supply by 1 July 2025.**

Stormwater Resource Consents

WDC's compliance with its existing stormwater discharge consents continues to be generally good.

The following table summarises the progress that has been made on the consent applications required for the entire Waipū urban area and the entire Whāngārei City urban area:

Stormwater Catchment	Progress	Agreed or required action
Waipū	<ul style="list-style-type: none"> Limited discussions between WDC and NRC yet as the focus has been on the Whāngārei City consent application. 	<ul style="list-style-type: none"> Information and learning from the Whāngārei City consent application, along with a new stormwater management plan will assist with the development of the consent application for the Waipū urban area.
Whāngārei City	<ul style="list-style-type: none"> Was initially put on hold while appeals on the PRP stormwater rules were being resolved. Processing of the application recommenced when the rules became operative. NRC has reviewed the application and WDC has done a gap analysis of additional information required by the current regulatory requirements. WDC has issued a tender for services to assist with consenting process. 	<ul style="list-style-type: none"> WDC will progress the preparation of the consent application without unreasonable delay. NRC understands that WDC is investigating high risk trade and industrial premises in the stormwater catchment area. NRC is waiting for the joint council flood model for Whāngārei City to be finalised, which will assist in the identification of persons affected by the consent application.

Drainage District Resource Consents

The Hikurangi Swamp Scheme (the Scheme) consent does not expire until 30 April 2045. NRC's main historic concern with the Scheme was its adverse effects on fisheries, particularly eels. NRC acknowledges the consent for the Scheme does not prohibit fish kills

and that, nevertheless, WDC is working with the local hapu and Scheme stakeholders to reduce those effects.

However, in more recent years NRC and stakeholders have also been concerned about the significant drops in dissolved oxygen (DO) concentrations that have been periodically recorded by NRC's continuous water quality monitoring equipment installed in the Wairua River at Purua, downstream of the Hikurangi Swamp Scheme. These have occurred after some heavy rainfall events and the drainage discharges from the Scheme are believed to be the likely cause of those significant drops (DO) concentrations. The most recent significant drops in DO concentrations recorded at Purua occurred in April 2025 after heavy rainfall events, with DO concentrations dropping below 4 mg/L for a week.

As you will be aware, NRC has received a request from Ngā Kaitiaki o Ngā Wai Māori & Ngāti Kahu o Torongare on 22 May to review the conditions of the Scheme's consent, based on the adverse effects of the Scheme, including DO depletion. **I note NRC's GM Group Manager Regulatory Services is meeting with relevant WDC staff on this matter tomorrow.**

Summary

As noted in our last summary although WDC's Three Waters services consent compliance is generally good, it is important that WDC continues to undertake works to improve compliance with the consents for its WWTPs, particularly the four WWTP discharges which recorded moderate non-compliances in the past year. It is also important that WDC continues to progress the stormwater consent application for Whāngārei City without unreasonable delay.

Currently, NRC's greatest concern is addressing the environmental effects of the Hikurangi Swamp Scheme.

Please ensure the updates and information requested in red writing are provided by the specified dates.

Ngā mihi



Jonathan Gibbard
Tāhūhū Rangapū - CEO
Northland Regional Council

TITLE: Business with the Public Excluded

Whakarāpopototanga / Executive Summary

The purpose of this report is to recommend that the public be excluded from the proceedings of this meeting to consider the confidential matters detailed below for the reasons given.

Ngā mahi tūtohutia / Recommendations

1. That the public be excluded from the proceedings of this meeting to consider confidential matters.
2. That the general subject of the matters to be considered whilst the public is excluded, the reasons for passing this resolution in relation to this matter, and the specific grounds under the Local Government Official Information and Meetings Act 1987 for the passing of this resolution, are as follows:

Item No.	Item Issue	Reasons/Grounds
7.1	Confirmation of Confidential Minutes - 20 May 2025	The public conduct of the proceedings would be likely to result in disclosure of information, the withholding of which is necessary to protect the privacy of natural persons, including that of deceased natural persons s7(2)(a) and the withholding of which is necessary to protect information where the making available of the information would be likely unreasonably to prejudice the commercial position of the person who supplied or who is the subject of the information s7(2)(b)(ii).
7.2	Cyber Resilience	The public conduct of the proceedings would be likely to result in disclosure of information, the withholding of which is necessary to protect information where the making available of the information would be likely unreasonably to prejudice the commercial position of the person who supplied or who is the subject of the information s7(2)(b)(ii).
7.3	Litigation Schedule Quarterly Report April-June 2025	The public conduct of the proceedings would be likely to result in disclosure of information, the withholding of which is necessary to protect the privacy of natural persons, including that of deceased natural persons s7(2)(a).
7.4	Report on contracts awarded July 2024 - June 2025	The public conduct of the proceedings would be likely to result in disclosure of information, the withholding of which is necessary to enable council to carry out, without prejudice or disadvantage, commercial activities s7(2)(h).

3. That the Independent Advisors be permitted to stay during business with the public excluded.
-

Considerations

1. Significance and Engagement

This is a procedural matter required by law. Hence when assessed against council policy is deemed to be of low significance.

2. Policy and Legislative Compliance

The report complies with the provisions to exclude the public from the whole or any part of the proceedings of any meeting as detailed in sections 47 and 48 of the Local Government Official Information Act 1987.

3. Other Considerations

Being a purely administrative matter; Climate Impact, Environmental Impact, Community Views, Māori Impact Statement, Financial Implications, and Implementation Issues are not applicable.